

## A family of kojic acid derivatives aimed to remediation of $Pb^{2+}$ and $Cd^{2+}$

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### ABSTRACT

The present work analyzes the complex formation ability towards  $Pb^{2+}$  and  $Cd^{2+}$  of a series of kojic acid derivatives that join the chelating properties of the pyrone molecules and those of polyamines, with the aim of evaluating how the different effects of oxygen and nitrogen coordinating groups act on the stability of metal complexes. Experimental research is carried out using potentiometric and spectrophotometric techniques supported by  $^1H$  and  $^{13}C$  NMR spectroscopy and DFT calculations. Actually, a different coordination mechanism toward  $Pb^{2+}$  and  $Cd^{2+}$  was proved: in the case of  $Pb^{2+}$ , coordination takes place exclusively via the oxygen atoms, while the contribute of the nitrogen atoms appears relevant in the case of  $Cd^{2+}$ . Lead complexes of all the studied ligands are characterized by significantly stronger stability than those of cadmium. Finally, on the basis of the measured complex formation stabilities, some of the proposed molecules seems promising effective ligands for lead and cadmium ion decorporation from polluted soils or waste waters.

### 1. Introduction

Lead and cadmium, according to the US Agency for Toxic Substances & Disease Registry (ATSDR) (ATSDR, 2022) are respectively the second and the seventh in the list of the substances that “cause the most significant problems to human health for their toxicity and potential for human exposure” (Crisponi and Nurchi, 2015). This priority list is not a list of “most toxic” substances, but rather a prioritization of substances based on a combination of their frequency, toxicity, and potential for human exposure (ATSDR, 2022). Despite many national and supranational governments, based on the awareness of their toxicity, have banned their use and their release, the toxicological relevance of these two metal ions is always significant. The heavy metal ions are classified as non-biodegradable pollutants, compounds not decomposed or broken down, and thus persistent and able to accumulate in waters, soils and food chain (Cantu et al., 2019), (Nurchi et al., 2020). The use of lead and cadmium is historically different (the use of lead dates back to Bronze Age while that of cadmium to the second half of the XIX century) as well as their chemical features, the mechanism of their toxicity and the requirements of the chelating agents for their removal from human organism and from polluted environmental sites. Complete toxicological

profiles for lead and cadmium are provided by ATSDR, for the first one updated to 2020 (ATSDR, 2020) and for the second updated to 2012 (ATSDR, 2012), together with the main information on chemical and physical properties, production, use, environmental fate, regulations and guidelines. Information on the coordination properties of these two metal ions can be found in recent reviews (Bjørklund et al., 2019), (Cappai et al., 2018), (Crisponi and Nurchi, 2015), (Peana et al., 2021), (Remelli et al., 2016).

In a recent paper (Nurchi et al., 2020) we thoroughly discussed on soil remediation methods, and on soil washing technology with the use of chelating agents as possible extracting ligands of the polluting metal ions. In particular, we proposed a method for the preliminary screening among various potential ligands toward target metal ions, which was applied, as an example, to several aminopolycarboxylic acids largely used in soil remediation thanks to their biodegradability.

Recalling the *hard-soft* properties (Pearson, 1963) of these two metal ions,  $Cd^{2+}$  (group 12 in the Periodic Table of Elements) is classified as a *soft* metal ion, preferring coordination by ligands characterized by soft groups ( $R_2S$ ,  $RSH$ , and  $RS$ ), even if coordination with oxygen and nitrogen atoms is frequently found. On the contrary,  $Pb^{2+}$  (group 14) is classified as an intermediate metal ion, preferentially coordinated by

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amino groups, though the interaction with hard oxygen groups and soft sulfur groups can be found in many complexes.

It has to be considered that metal may be present in a specific form depending on concentration, solubility, pH and (ionic) medium (Nurchi et al., 2021). Therefore, complexation studies turn out to be crucial and propaedeutic for many application fields, such as remediation, prediction of metal transport and fate in the design of method with the aim of sequestering a toxic metal from natural systems (Cardiano et al., 2016), (Irto et al., 2020), (Irto et al., 2019).

To enquire on the different effect of oxygen and nitrogen coordinating groups on the stability of  $Pb^{2+}$  and  $Cd^{2+}$  complexes, the present work analyzes the complex formation ability of a series of kojic acid derivatives (Fig. 1) towards  $Pb^{2+}$  and  $Cd^{2+}$ , using potentiometry and spectrophotometry supported by  $^1H$  and  $^{13}C$  NMR spectroscopy and DFT calculations. The proposed molecules join the chelating properties of the hydroxypyrrone molecules (vicinal carbonyl and  $O^-$  groups) and those of polyamines (ethylenediamine (en), 1,3-diaminopropane (dpa), 1,4-diaminobutane (dba) and 2,2',2''-triaminotriethylamine (tren)) (Nurchi et al., 2019a). These ligands show an extremely high similarity with some of the aminopolycarboxylic acids previously evaluated (Nurchi et al., 2020), as can be argued from the comparison of the structures reported in Fig. S1.

In the frame of our research on chelating agents for the treatment of iron overload diseases, we designed, synthesized and characterized a number of kojic acid derivatives, based on the strong coordinating ability of the hydroxypyrrone molecule, and on the lack of toxicity of kojic acid, a molecule in wide use in food industry and in cosmetics. Peculiarity of the kojic acid moiety are the strong UV spectral variations connected to its deprotonation, which can allow to distinguish the effects of oxygen and nitrogen coordinating group.

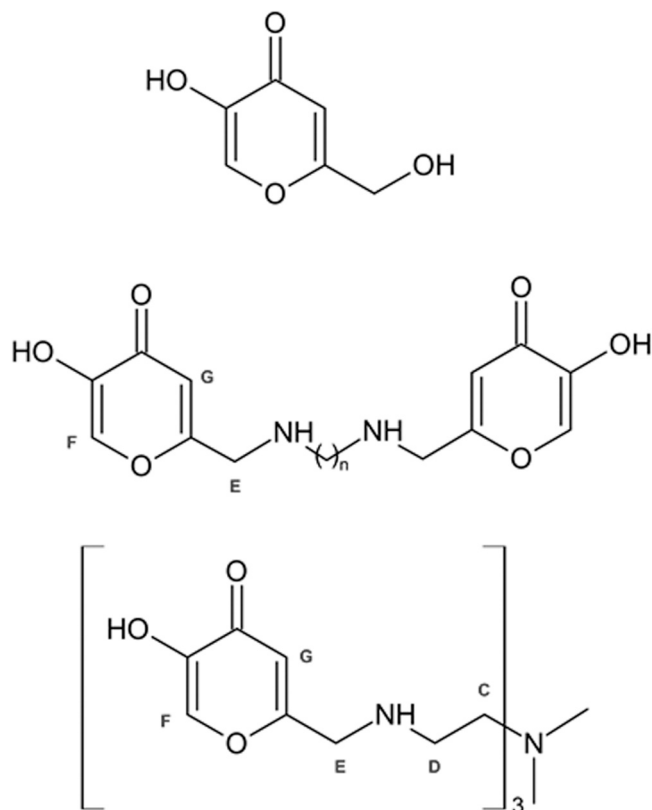


Fig. 1. Ligand structures top): kojic acid; middle): S ligands where  $n = 2$  in S2,  $n = 3$  in S3 and  $n = 4$  in S4; bottom): SC ligand.

## 2. Materials and methods

### 2.1. Chemicals and materials

1 mM ligand solutions were prepared by weighing the solid compounds previously synthesized according to literature methods (Nurchi et al., 2019b), (Nurchi et al., 2018).  $CdCl_2$  and  $Pb(NO_3)_2$  salts, purchased from Sigma Aldrich, were used without any further purification. The metal solutions were prepared by weighing salts, acidified with a stoichiometric amount of HCl and  $HNO_3$  to prevent hydrolysis and standardized by EDTA titration (Buglyó et al., 2015), obtaining 0.0085(1) M for  $CdCl_2$  and 0.0102(1) M for  $Pb(NO_3)_2$ . HCl,  $HNO_3$  and KOH, purchased from Sigma Aldrich, were standardized by sodium carbonate and potassium hydrogen phthalate, respectively. To avoid the carbonation process of KOH titrant solution, soda lime traps were used. KCl and  $KNO_3$  ionic medium aqueous solutions were prepared by weighing the Sigma Aldrich salts. All solutions were prepared using grade A glassware and ultrapure water (conductivity  $< 0.1 \mu S$ ).

### 2.2. Instrumentation and procedures

#### 2.2.1. Potentiometry and spectrophotometry

The complex formation equilibria were studied by combined potentiometric-UV spectrophotometric titrations at 1:1, 1:2 and 1:3 metal:ligand molar ratios ( $5.0 \times 10^{-4}$  M ligand concentration) in thermostatic glass cell ( $25^\circ C$ ), 0.1 M ionic strength, under magnetic stirring and argon atmosphere to avoid the presence of  $CO_{2(g)}$ . A combined glass electrode (dEcotrode plus Metrohm) was connected to 888 Titrand (Metrohm AG, Herisau, Switzerland). The electrode was daily calibrated for hydrogen ion concentration via HCl standard titration with NaOH in the used experimental conditions, and data processed with Gran's method (Gran, 1952) for determining the electrode potential ( $E_0$ ), the daily NaOH concentration and the ionic product of water ( $pK_w$ ). The automatic potentiometric titrations were run using Metrohm TiAMO 1.2 software capable of handling up to  $8 \mu L$  of titrant additions ( $\pm 0.001$  mL) and e.m.f. measurement ( $\pm 0.15$  mV). Spectrophotometric titrations were carried out with 0.2 cm fiber optic dip probe connected to a Varian Cary 60 Agilent UV-vis spectrophotometer by means of the Varian Cary WinUV software for recording absorbance values (A) in the wavelength range between 200 and 400 nm. The combined potentiometric-spectrophotometric titrations were carried out from pH  $\sim 2$  to  $\sim 10$  according to the formation of insoluble species. Potentiometric and spectrophotometric data were processed using the HyperQuad and HypSpec programs (Frassinetti et al., 1995), (Gans et al., 1996), respectively for the optimization of the stability constants. Furthermore, HypSpec program allows the calculation of absorptivity spectra of the involved absorbing species. Log  $\beta_{pqr}$  values refer to the overall equilibria  $pM + qH + rL \rightleftharpoons M_pH_qL_r$  (electrical charges omitted). L indicates the completely deprotonated form of the ligands. The following hydrolysis constants were assumed for the calculations (Baes and Mesmer, 1976):  $[Cd(OH)]^+$  ( $\log\beta_{1-1} = -10.15$ ),  $Cd(OH)_2$  ( $\log\beta_{1-2} = -20.51$ ),  $[Cd(OH)_3]^-$  ( $\log\beta_{1-3} = -33.33$ ),  $[Cd(OH)_4]^{2-}$  ( $\log\beta_{1-4} = -47.39$ ),  $[Cd_2(OH)]^{3+}$  ( $\log\beta_{2-1} = -9.25$ ),  $[Cd_4(OH)_4]^{4+}$  ( $\log\beta_{4-4} = -32.42$ );  $[Pb(OH)]^+$  ( $\log\beta_{1-1} = -7.85$ ),  $Pb(OH)_2$  ( $\log\beta_{1-2} = -17.17$ ),  $[Pb(OH)_3]^-$  ( $\log\beta_{1-3} = -28.09$ ),  $[Pb_2(OH)]^{3+}$  ( $\log\beta_{2-1} = -5.71$ ),  $[Pb_3(OH)_4]^{2+}$  ( $\log\beta_{3-4} = -23.66$ ),  $[Pb_4(OH)_4]^{4+}$  ( $\log\beta_{4-4} = -20.39$ ),  $[Pb_6(OH)_8]^{4+}$  ( $\log\beta_{6-8} = -43.36$ ).

#### 2.2.2. NMR spectroscopy

NMR experiments were performed at  $25^\circ C$  on a Bruker Ascend™ 400 MHz spectrometer equipped with a 5 mm automated tuning and matching broad band fluorine observation probe (BBFO) with z-gradients. NMR spectra of metal-ligand system were recorded in  $H_2O-D_2O$  (90%–10%) solution at 2 mM ligand concentration and different molar ratios by using a stock acidic deuterated aqueous of cadmium chloride and lead nitrate. The pH values have been selected according to those in

which the identified species are present at the maximum concentration based on potentiometric results. The selected metal ion solution was added to the ligand solution and the pH was set just before the spectra acquisition. 2-D  $^1\text{H}$ - $^{13}\text{C}$  heteronuclear single quantum coherence spectra (HSQC) were acquired by using a phase-sensitive sequence employing Echo-Antiecho-TPPI gradient selection with a heteronuclear coupling constant  $J_{\text{XH}} = 145$  Hz, and shaped pulses for all  $180^\circ$  pulses on f2 channel with decoupling during acquisition; sensitivity improvement and gradients in back-incept were also used (Peana et al., 2015). Relaxation delays of 2 s and  $90^\circ$  pulses of about 10  $\mu\text{s}$  were applied in all experiments. Solvent suppressions were achieved by using excitation sculpting with gradients. All NMR data were processed with TopSpin (Bruker Instruments) software and analyzed by Sparky 3.1.1 and MestRe Nova 6.0.2 (Mestrelab Research S.L.) programs.

### 2.2.3. Computational studies

The geometry of  $\text{Pb}^{2+}$  and  $\text{Cd}^{2+}$  complexes was fully optimized by DFT calculation using the M06-L (Zhao and Truhlar, 2008) functional and the 6–31 G(d,p) (Francl et al., 1982), (Hariharan and Pople, 1973) basis set. The implicit water solvent effects were evaluated by using the “conductor-like polarized continuum model” (CPCM) (Barone and Cossi, 1998). Vibration frequency calculations, within the harmonic approximation, were carried out to estimate the relative standard Gibbs free energy and to confirm that the optimized geometries corresponded to a minimum in the potential energy surface. All the related calculations were performed by the Gaussian 16 program package (Frisch et al., 2019).

## 3. Results and discussion

The complex formation equilibria of the five ligands with  $\text{Pb}^{2+}$  and  $\text{Cd}^{2+}$  have been studied by joined potentiometric-spectrophotometric titrations. The potentiometric data have been processed by HyperQuad program (Gans et al., 1996) taking into account the hydrolysis constants reported in 2.2.1. The spectrophotometric data have been processed by HypSpec program (Gans et al., 1999), although the presence of several differently protonated species did not allow us to consider UV data for calculating stability constants. Despite this, the trend (absorbance vs pH) of the two characteristic bands at 268 nm and 314 nm (Fig. S2, Fig. S3) of KA moiety (related to the OH and  $\text{O}^-$  forms respectively) and the chemical shift variation of nuclei F and G have been fundamental for considering the involvement of HPO rings in metal coordination. Furthermore, the spectrophotometric data indicate the starting of precipitation of metal hydroxides, poorly visible to the naked eye, by the raising up of the baseline. Overall, the complexation models have been built up taking into account the protonation constants previously published (Nurchi et al., 2019b), (Nurchi et al., 2018), (Nurchi et al., 2010) (summarized in Table S1) and by the support of NMR spectroscopy and DFT calculation. The complex formation constants and speciation plots are reported in Table 1 and Fig. 2, Fig. 5.

### 3.1. Lead complexes

#### 3.1.1. Kojic acid

Kojic acid forms  $\text{Pb}^{2+}$  complexes of two different stoichiometry,  $[\text{PbL}]^+$  and  $\text{PbL}_2$ : the formation of  $[\text{PbL}]^+$  starts at pH 4 (Fig. 2), reaching 80% of formation at pH 6, when the  $\text{PbL}_2$  complex formation begins and rises up to 50% after pH 8. Indeed, the spectra collected during UV titration of  $\text{Pb}^{2+}$ -KA system at 1:2 metal:ligand molar ratio (Fig. S4, Fig. S5) show the disappearance of the band at 268 nm and the formation of a new one at 306 nm (Fig. S6, Fig. S7). The precipitation of metal hydroxides takes place at pH  $\sim 10$ . From pM value (6.57) it follows that at 1  $\mu\text{M}$  concentration of  $\text{Pb}^{2+}$ , a tenfold excess of ligand complexes the 70% of metal leaving free the remaining 30%, similar to congener maltol (pM 6.76), while deferiprone (7.68) (Buglyó et al., 2015) totally complexes the  $\text{Pb}^{2+}$  ion.

**Table 1**

Complex formation constants of  $\text{Pb}^{2+}$  and  $\text{Cd}^{2+}$  with KA, S2, S3, S4 and SC ligands evaluated from potentiometric titrations at  $25^\circ\text{C}$ , 0.1 M ionic strength using HyperQuad program (Gans et al., 1996).  $\text{pM} = -\log_{10}[\text{M}_{\text{free}}]$  with  $[\text{M}] = 10^{-6}$  M,  $[\text{L}] = 10^{-5}$  M and  $\text{pH} = 7.4$ . L indicates the completely deprotonated form of the ligands ( $\text{L}^-$  for KA,  $\text{L}^{2-}$  for S2, S3, S4 and  $\text{L}^{3-}$  for SC). The charges of complexes are omitted for simplicity.

species	log $\beta$				
	KA	S2	S3	S4	SC
$\text{PbLH}_4$					46.69(3)
$\text{PbLH}_3$			32.73(5)		39.52(1)
$\text{PbLH}_2$					31.07(3)
$\text{PbLH}$					22.62(1)
$\text{PbL}$	5.88(3)				12.98(2)
$\text{PbL}_2$	9.85(5)				
$\text{Pb}_2\text{L}_2\text{H}_5$			63.58 (3)	64.86(6)	
$\text{Pb}_2\text{L}_2\text{H}_4$		53.15(8)	56.41 (2)	57.82(3)	
$\text{Pb}_2\text{L}_2\text{H}_3$		45.8(1)	47.92 (2)	48.28(8)	
$\text{Pb}_2\text{L}_2\text{H}_2$		38.88(6)	38.64 (3)	39.43(2)	
$\text{Pb}_2\text{L}_2\text{H}$		29.9(1)	29.43 (2)		
$\text{Pb}_2\text{L}_2$		21.0(6)			
<b>pPb</b>	<b>6.57</b>	<b>6.49</b>	<b>6.43</b>	<b>6.73</b>	<b>10.88</b>
$\text{CdLH}_3$					35.63(5)
$\text{CdLH}_2$					28.82(5)
$\text{CdLH}_1$					21.55(5)
$\text{CdL}$	4.3(1)				13.89(2)
$\text{CdLH}_{-1}$					3.49(3)
$\text{CdL}_2$	8.1(1)				
$\text{Cd}_2\text{L}_2\text{H}_5$			58.9(1)	61.2(1)	
$\text{Cd}_2\text{L}_2\text{H}_4$		50.61(7)	51.1(1)	53.6(1)	
$\text{Cd}_2\text{L}_2\text{H}_3$			42.85(7)	44.43(7)	
$\text{Cd}_2\text{L}_2\text{H}_2$		36.00(5)	33.60(5)	34.6(1)	
$\text{Cd}_2\text{L}_2\text{H}$		28.15(5)	23.86(4)		
$\text{Cd}_2\text{L}_2$		19.96(5)			
$\text{Cd}_2\text{L}_2\text{H}_{-1}$		10.25(6)			
<b>pCd</b>	<b>6.03</b>	<b>6.02</b>	<b>6.00</b>	<b>6.00</b>	<b>7.89</b>

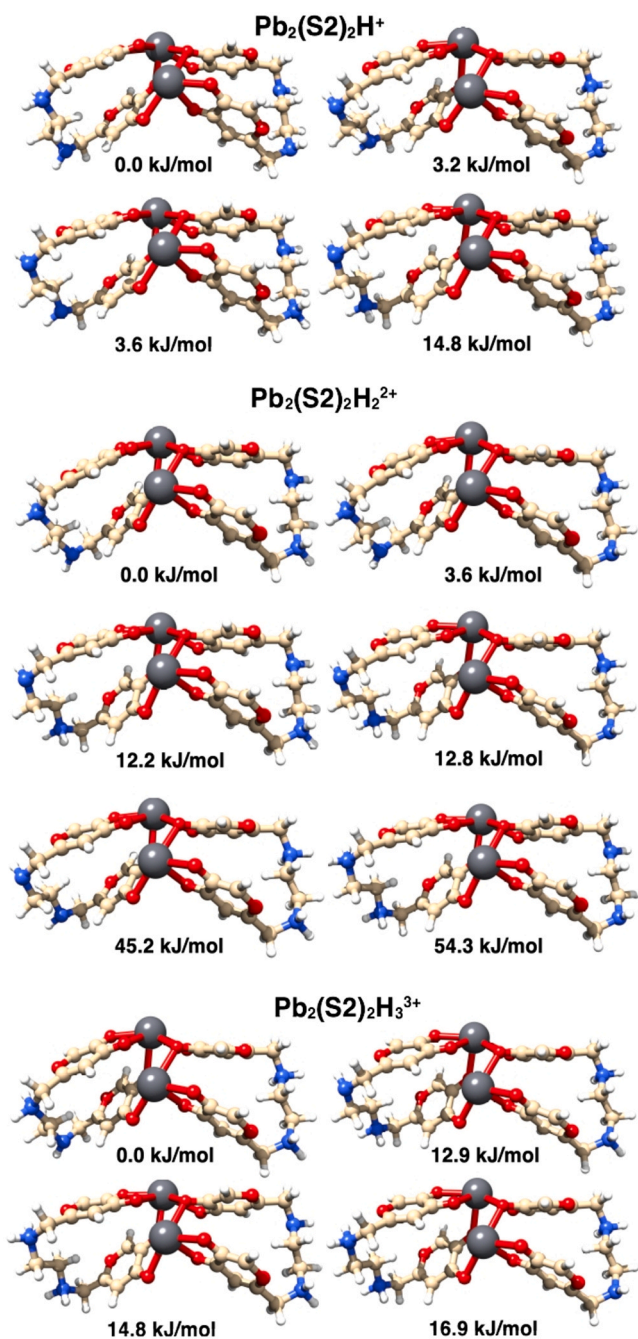
The  $^1\text{H}$  and  $^{13}\text{C}$  chemical shift difference between  $\text{Pb}^{2+}$ -KA and KA systems (Fig. S8) confirm the starting of metal complexation at acidic pH showing nuclei F as the most affected due to the involvement of deprotonated phenolic group in coordination.

#### 3.1.2. Kojic acid derivatives

Bis-kojic ligands form binuclear complexes where each of the two  $\text{Pb}^{2+}$  ions is coordinated by oxygen atoms of the KA moieties of the two different ligands as can be inferred from the trends of the OH and  $\text{O}^-$  groups bands (Fig. S10, Fig. S13, Figs. S16-S18). In particular, the first spectrum of  $\text{Pb}^{2+}$ -S2 system titration at pH 6.18 (Fig. S10), related to the species  $[\text{Pb}_2\text{L}_2\text{H}_4]^{4+}$  (Fig. 2), shows the band of protonated OH groups (276 nm) decreased by  $\sim 70$ –80% of absorbance (OH and three amino groups still protonated), which totally disappears in behalf of the band of deprotonated  $\text{O}^-$  groups (308 nm) due to the loss of a proton (pK 7.3) giving  $[\text{Pb}_2\text{L}_2\text{H}_3]^{3+}$  species. The following deprotonations occur on the charged amino groups and are spectrally silent. In excess of ligand, also the 1:2 complexes  $[\text{PbL}_2\text{H}_3]^+$  and  $\text{PbL}_2\text{H}_2$  take place. NMR spectra were collected at pH 7.68 increasing the  $\text{Pb}^{2+}$  concentration up to 1:1 metal:ligand molar ratio (Fig. 3). The  $\text{Pb}^{2+}$ -S2 system is characterized by a fast exchange rate: adding stoichiometric amount of  $\text{Pb}^{2+}$  to the ligand, the  $^1\text{H}$  NMR signals progressively shift and appear to be averaged among the S2 free and bound exchanging states. In the whole pH range, the major perturbation is related to the F nuclei (Fig. 3), closest to the coordinating  $\text{O}^-$  groups, followed by  $\text{G} \geq \text{E} >> \text{D}$  confirming the coordination by the oxygen donors of kojic units, whereas the nitrogen donors are excluded in the complex formation. By increasing pH above 9, the ligand does not appear influenced by the metal ion since it is involved in hydroxides formation/precipitation.

To obtain atomistic models of the binuclear  $\text{Pb}^{2+}$  complexes, DFT calculations have been performed on the hypothesized structures reported in Fig. 4, considering the protonation states  $[\text{Pb}_2(\text{S2})_2\text{H}]^+$ ,





**Fig. 4.** Structures of the most stable tautomers in water solution, and relative Gibbs free energy values, obtained by DFT calculations of the binuclear  $Pb^{2+}$  complexes with top):  $S^{2-}$  and  $HS^{2-}$  ligands, in bridge coordination; middle): two  $HS^{2-}$  or  $H_2S$  and  $S^{2-}$  ligands, in bridge coordination; bottom): with  $H_2S$  and  $HS^{2-}$  ligands, in bridge coordination.

last uncoordinated KA moiety with  $pK$  7.17, with the formation of  $[Pb_2L_2H_4]^{4+}$ , and the further protons are lost in the  $pK$  range 8.48–9.28 until the formation of the  $[Pb_2L_2H]^+$  complex. S4 ligand gives a complexation model analogous to that of S3 ligand, completing  $Pb^{2+}$  coordination with the loss of a proton with  $pK$  7.04 from  $[Pb_2L_2H_5]^{5+}$  (Fig. 2). The NMR behavior of both S3 (Fig. S14) and S4 (Fig. S19) ligands looks similar to that of S2. In all systems, the metal interaction starts at  $\sim pH$  5 involving the oxygen donor atoms of KA units until  $\sim pH$  9.

Regarding the tris-kojic ligand SC, a mononuclear species  $[PbLH_4]^{3-}$  starts to form before  $pH$  4, where  $Pb^{2+}$  is presumably coordinated by two

KA units (being the third still protonated as well as the three nitrogen atoms of the linker). Spectrophotometric data (Fig. S21, Fig. S23) give evidence that the first proton is lost from KA, since the deprotonation take place at  $pK$  7.2, as in the free ligand. The following three protons are lost at 8.4–9.6  $pK$  range, slightly lower than those of the free ligand. The trend of the chemical shifts variation is analogous to the previously described systems (Fig. S11) indicating that the metal ion binding involves the chelating oxygen atoms of the KA units. The structure of the  $Pb^{2+}$  complexes have been investigated by DFT calculations, (hypothesized structures in Figs. S24–S26) considering the protonation states  $[Pb(SC)]^-$ ,  $[Pb(SC)H]$ ,  $[Pb(SC)H_2]^+$ ,  $[Pb(SC)H_3]^{2+}$ , and  $[Pb(SC)H_4]^{3+}$ . Independently of the complex overall charge, preferential metal coordination always occurs through the chelating phenolic oxygen atoms of the KA units.

### 3.2. Cadmium complexes

#### 3.2.1. Kojic acid

Kojic acid forms 1:1 complex at  $pH > 5$ ,  $[CdL]^+$ , and 1:2 complex after  $pH$  6,  $CdL_2$ . Their stoichiometry and the related stability constants are in agreement with those reported in the literature (Kotani et al., 1976), (Pecsook, 1961). From the trend of the perturbations vs  $pH$  identified by NMR spectra (Fig. S31), it appears that the interaction of  $Cd^{2+}$  with KA is more complicated due to the presence of different species in solution, but substantially in agreement with potentiometric results. All species involve KA unit oxygen donors. A decrease in chemical shift perturbations is observed at  $pH > 10$ , associated with the formation of hydroxylated species that causes the precipitation of the metal and the re-formation of free KA.

#### 3.2.2. Kojic acid derivatives

Although bis-kojic ligands form  $Cd^{2+}$  complexes with the same stoichiometry of  $Pb^{2+}$  complexes, the spectral behavior of the studied systems do not necessary imply the involvement of KA moieties in the metal ion coordination. S2 ligand gives  $[Cd_2L_2H_4]^{4+}$  complex (as with  $Pb^{2+}$ ) as first formed species, which loses its four proton and a fifth one from a coordinated water molecule (it cannot be assigned to a particular deprotonation step) in the 7.3–9.7  $pH$  range. Differently from what observed for  $Pb^{2+}$  complexes, the spectrophotometric data (Fig. S33, Fig. S35) show that the characteristic band of deprotonated  $O^-$  groups (316 nm) is formed in a small extent, implying that  $Cd^{2+}$  is only partially coordinated by KA. Namely, from NMR spectra collected at  $pH$  7.84 increasing the  $Cd^{2+}$  concentration up to 1:1 metal:ligand molar ratio (Fig. 6) and from chemical shift variation in the wide  $pH$  range (Fig. S36), the trend of  $^1H$  signals follows the order  $G >> F$  (opposite to the  $Pb^{2+}$  complexation) and the perturbation of D and E nuclei is more consistent than that given in  $Pb^{2+}$  complexation. It may indicate that, for some species in solution, the nitrogen donors of the linker could be involved in the metal interaction. DFT calculations have been performed on  $[Cd_2(S_2)_2H]^+$ ,  $[Cd_2(S_2)_2H_2]^{2+}$  and  $[Cd_2(S_2)_2H_3]^{3+}$ , using the same stoichiometry and coordination scheme of the  $Pb^{2+}$  complexes of ligand S2, (Fig. S37). The results obtained support the occurrence of analogous species in solution.

The S3 and S4 ligands present a similar behavior (Figs. S39–S41, Figs. S44–S46). The first formed species is  $[Cd_2L_2H_5]^{5+}$  for both of them, which lose their protons till the formation of  $[Cd_2L_2H]^+$  for S3, and of  $[Cd_2L_2H_2]^{2+}$  for S4. However, the implication of KA moieties in  $Cd^{2+}$  coordination is evident from the band at 266 nm (Fig. S39, Fig. S44). Conversely, to S2 complexes, for S3 and S4 ligands, the major chemical shift variations are detected only for G and F nuclei, while D and E ones are blandly affected. Consequently, it seems that the nitrogen donor atoms do not take part in the coordination (Fig. S42, Fig. S47).  $Cd^{2+}$  mononuclear complexes are formed even with SC ligand.  $[CdLH_3]^{2+}$  complex loses sequentially four protons till the formation of the hydroxo  $[CdLH_1]^{2-}$  complex, where KA units are not involved in the  $Cd^{2+}$  coordination, according to the spectrophotometric data (Figs. S49–S51).

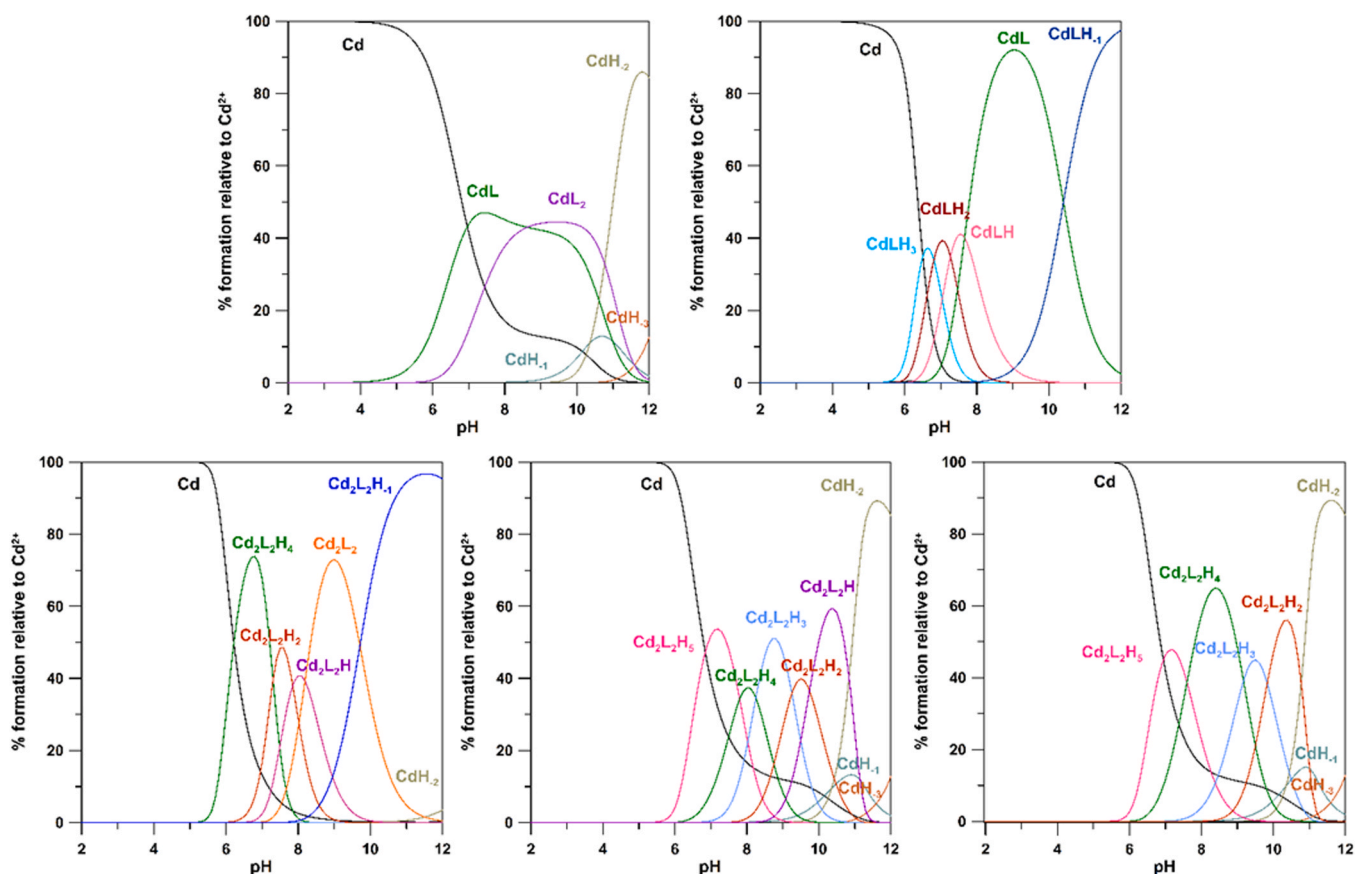


Fig. 5. Speciation plots of  $\text{Cd}^{2+}$ -ligand systems calculated with Hyss program (Alderighi et al., 1999) at  $5.0 \times 10^{-4}$  M ligand concentration and at 1:2 metal:ligand molar ratio for KA ligand and 1:1 for S2, S3, S4 and SC ligands Top): from left to right KA and SC ligands. Bottom): from left to right S2, S3 and S4 ligands.

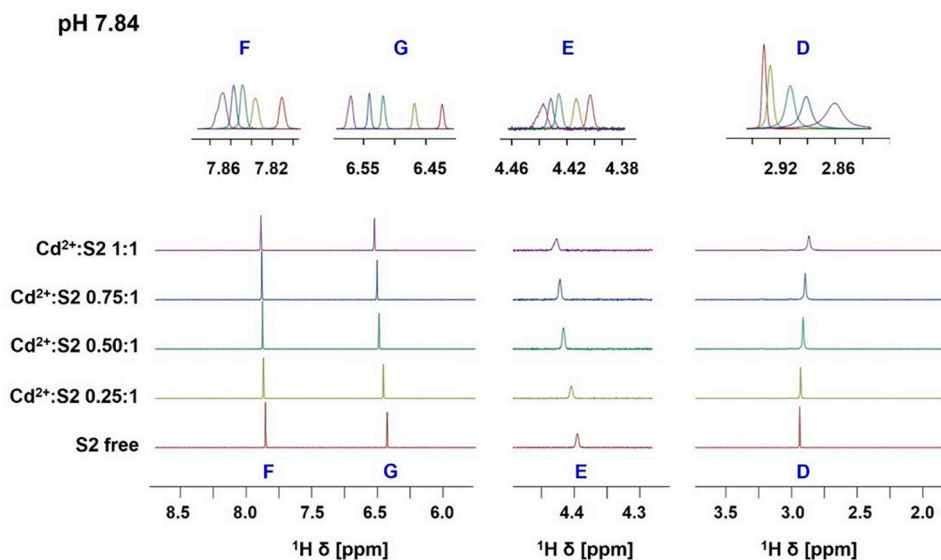


Fig. 6.  $^1\text{H}$  NMR spectra of  $\text{Cd}^{2+}$ -S2 system at different molar ratios and pH 7.84.

Moreover, as it clearly appears from Fig. S36, D and C are the most affected nuclei at pH 6.6, before which (pH 5.74) no metal interaction is observed (in agreement with potentiometric data). The trend of chemical shift variation is fairly kept constant up to pH 8, suggesting the presence of one predominant species or a similar species in solution. From pH 8 onwards, there is a gradual variation of the trend which leads to a new species at high pH value (pH 10.9) proposing the involvement

of the nitrogen donor atoms in  $\text{Cd}^{2+}$ -SC complexes. The presence of two separate peaks in (Fig. S52), one related to SC in free conformation and the second related to  $\text{Cd}^{2+}$ -SC conformation), suggest a slow exchange in NMR timescale and a high affinity  $\text{Cd}^{2+}$  binding.

Interestingly, DFT calculations point out the occurrence of a fundamental difference between lead and cadmium complexes of ligand SC. In fact, while for  $[\text{Cd}(\text{SC})]^-$  (Fig. S53), preferential metal coordination

occurs through the oxygen atoms of the three KA units, for the protonated  $[\text{Cd}(\text{SC})\text{H}_3]^{2+}$  form the most stable species shows the preferential coordination through the four amine nitrogen atoms of the ligand.

### 3.3. S ligands in $\text{Pb}^{2+}$ and $\text{Cd}^{2+}$ remediation

A quantitative evaluation of S ligands as chelating agents in  $\text{Pb}^{2+}$  and  $\text{Cd}^{2+}$  remediation was made using the stability constants reported in Table 1.

Firstly, the behavior of the two metal ions towards each one of ligands was investigated through the correlation between  $\log\beta$  of the most protonated  $\text{Cd}^{2+}$  complex and  $\log\beta$  of  $\text{Pb}^{2+}$  complex of the same stoichiometry. The linear trend in Fig. 7 (regression equation  $y = 0.961x - 1.529$  and  $R^2 = 0.9988$ ) implies that the stability of  $\text{Cd}^{2+}$  complexes in S ligands is definitely lower than the corresponding one of  $\text{Pb}^{2+}$  complexes.

To compare these findings with the general behavior of  $\text{Pb}^{2+}$  and  $\text{Cd}^{2+}$  complexes, the complex formation constants (Pettit and Powell, 2001) of these two metal ions with ten ligands characterized by carboxylic, amino and mercapto groups were taken into account, limiting to values at 25 °C and 0.1 M ionic strength, and to stability constants for each ligand measured by the same authors (Table S2). A linear correlation was found also in this case. The difference of the related regression line  $y = 0.931x - 0.528$  (Fig. 7 right) from the previous one  $y = 0.961x - 1.529$  (Fig. 7 left) can be ascribed to the limited number of experimental points; at any rate, the calculated decrease of  $\text{Cd}^{2+}$  constants with respect to those of  $\text{Pb}^{2+}$ , calculated with both equations, is almost the same due to the mutual compensation between y-intercept and slope contributions.

As a final point, the trend of the percent concentration of free metal ion and of total complexed metal ion vs pH was evaluated (Fig. 8). The percent concentration of complexed metal ion has to be assumed as the contribution of all species (Fig. 2, Fig. 5) for each metal ion-ligand system. Contrary to the aminopolycarboxylic acids (Nurchi et al., 2020) that form metal complexes of simple 1:1 stoichiometry, the proposed S ligands form complexes differing in stoichiometry and protonation degree. Therefore, the total complexed metal ion vs pH is of extreme utility in the quantitative evaluation of the ligand binding

capacity.

Additive information are presented in S.I., i.e. the pPb and pCd values for S ligands and for aminopolycarboxylic acids (Table S3), and the pH values at which given percent's of complexation occur (Table S4).

The obtained data show a limited applicability of S2, S3 and S4 ligands for remediation with respect to aminopolycarboxylic acids. This has to be ascribed to the different properties of coordinating oxygen groups in the two class of ligands: coordinating  $\text{O}^-$  groups in the KA units, despite their capacity to coordinate  $\text{Pb}^{2+}$  and  $\text{Cd}^{2+}$  ions, present protonation constants 4–6 log K units higher than those of carboxylic groups in aminopolycarboxylic acids. This feature determines a strong competition between protons and metal cations toward the same chelating groups in S ligands. Completely different situation is shown by SC ligand. Actually, it forms strong complexes with both  $\text{Pb}^{2+}$  and  $\text{Cd}^{2+}$ , characterized by high pM values (10.88 and 7.89 respectively).

The pM values reported in Table 1 allow some consideration on the remediation capacity of the studied ligands in the treatment of wastewater. These values are calculated on solutions containing a starting 1  $\mu\text{M}$  concentration of the toxic metal ions, which correspond to 207  $\mu\text{g L}^{-1}$  for  $\text{Pb}^{2+}$  and 112  $\mu\text{g L}^{-1}$  for  $\text{Cd}^{2+}$ . These concentrations are extremely higher than the threshold limits in drinking water of 5  $\mu\text{g L}^{-1}$  for both metal ions according US legislation (ATSDR, 2021), (ATSDR, 2013) and of 10  $\mu\text{g L}^{-1}$  for  $\text{Pb}^{2+}$  and 3  $\mu\text{g L}^{-1}$  for  $\text{Cd}^{2+}$  according (WHO, 2017). The calculated pM values correspond to the concentration of free metal ion after the addition of a tenfold excess of ligand. In the case of the  $\text{Pb}^{2+}$  the 6.43 pM with S3 (the lowest value) corresponds to a drop of concentration of free  $\text{Pb}^{2+}$  from 207 to 77  $\mu\text{g L}^{-1}$  after ligand addition, the 6.73 pM with S4 from 207 to 39  $\mu\text{g L}^{-1}$ , and 10.88 pM for SC to correspond to a drop from 207 to 0.003  $\mu\text{g L}^{-1}$  (99.999% of decrease). Then, even if an amount of free metal ion ranging from 60% to 80% is removed by KA, S2, S3 and S4, these ligands are unable to carry the concentration into the legal limits. On the contrary, SC is extremely efficacious in producing pure water from a high-polluted solution. In the case of  $\text{Cd}^{2+}$ , all the ligands are unable to reduce the metal ion concentration in a remarkable amount, while SC also in this case is efficacious in carrying the polluted solution into the requested values of concentration.

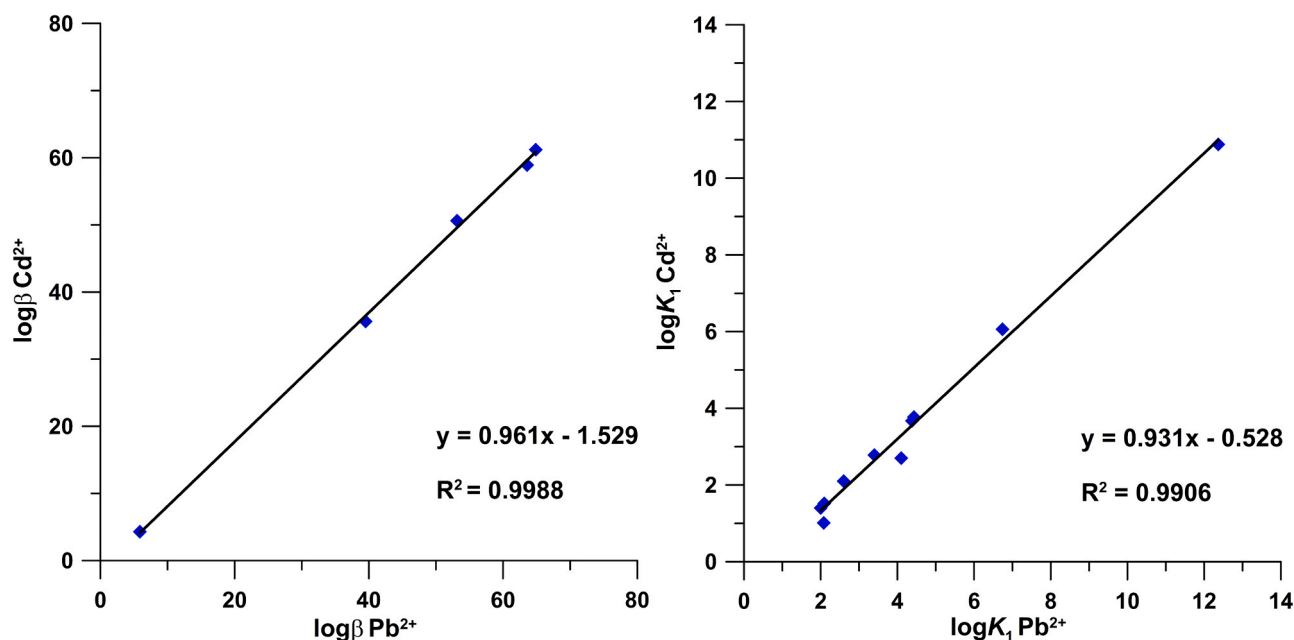
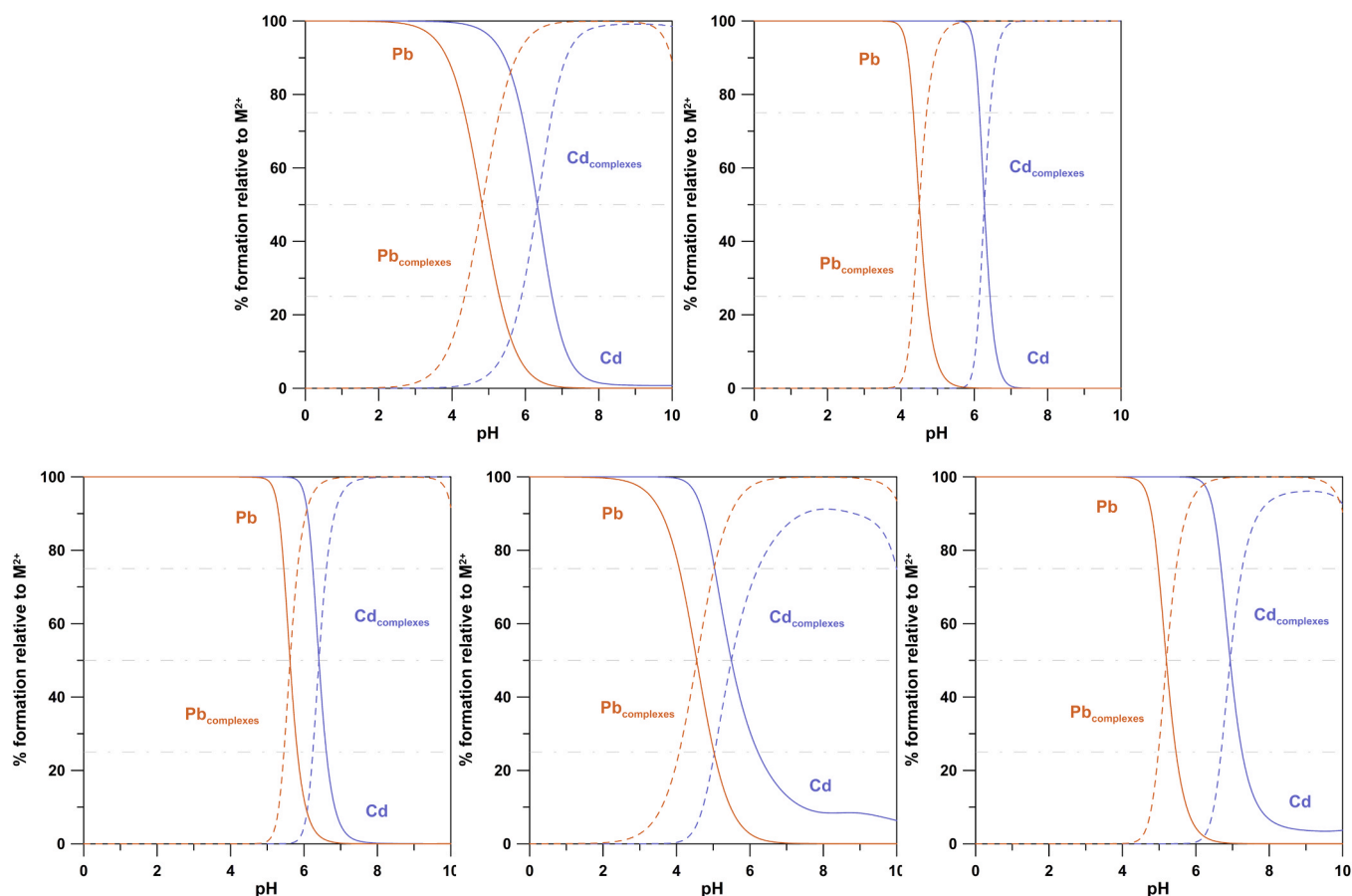


Fig. 7. Left):  $\log\beta$  of the most protonated  $\text{Cd}^{2+}$  complex vs  $\log\beta$  of the most protonated  $\text{Pb}^{2+}$  complex for each S ligand:  $\log\beta$  ( $\text{CdL}$ ) = 4.33 vs  $\log\beta$  ( $\text{PbL}$ ) = 5.88 for KA;  $\log\beta$  ( $\text{Cd}_2\text{L}_2\text{H}_4$ ) = 50.61 vs  $\log\beta$  ( $\text{Pb}_2\text{L}_2\text{H}_4$ ) = 53.15 for S2;  $\log\beta$  ( $\text{Cd}_2\text{L}_2\text{H}_5$ ) = 58.9 vs  $\log\beta$  ( $\text{Pb}_2\text{L}_2\text{H}_5$ ) = 63.58 for S3;  $\log\beta$  ( $\text{Cd}_2\text{L}_2\text{H}_5$ ) = 61.2 vs  $\log\beta$  ( $\text{Pb}_2\text{L}_2\text{H}_5$ ) = 64.86 for S4;  $\log\beta$  ( $\text{CdLH}_3$ ) = 35.63 vs  $\log\beta$  ( $\text{PbLH}_3$ ) = 39.52 for SC. Right):  $\log K_1$   $\text{Cd}^{2+}$  complex vs  $\log K_1$   $\text{Pb}^{2+}$  complex for selected ligands (Table S2).



**Fig. 8.** Percent concentration of free metal ion and total complexed metal ion at different pH assuming the complexed metal ion as the contribution of all species for each metal-ligand system. (i.e. for  $Pb^{2+}$ -SC system, % concentration of  $Pb^{2+}$  complexes = % concentration of  $PbLH_4 + PbLH_3 + PbLH_2 + PbLH + PbL$ ). Top): from left to right KA and SC ligands. Bottom): from left to right S2, S3 and S4 ligands. Solid line and dashed line indicate free metal ion and complexed metal ion respectively. Grid lines point out the 25%, 50%, 75% of complex formation.

#### 4. Conclusions

Some concluding considerations can be drawn on the results obtained remarking the most interesting findings. Experimental and DFT calculations highlight the different coordination mechanism toward  $Pb^{2+}$  and  $Cd^{2+}$ : in the case of  $Pb^{2+}$ , coordination takes place exclusively via the oxygen atoms in the KA units, while in the case of  $Cd^{2+}$  the contribute of the nitrogen atoms in the linker appears significant.

As far as the complex formation constants are concerned, all the studied ligands form complexes of significantly stronger stability with  $Pb^{2+}$  than with  $Cd^{2+}$ . The stability of SC complexes is higher of different magnitude orders respect to those obtained with all other ligands. These findings influence the possible application of the proposed ligands in soil and water remediation. The proposed numerical cases show that at proper pH values all the S ligands can be used for decorporation of polluted soils from  $Pb^{2+}$ , but only SC ligand can find applications in the case of  $Cd^{2+}$ . For polluted waters, the use of a tenfold excess of S2, S3 and S4 ligands can reduce in a large amount the concentration of  $Pb^{2+}$ , within the law limits for the discharge of wastewater into surface water systems, but it is not able to bring it within the limits for drinking water. SC ligand allows instead the complete removal of lead from water. In the case of  $Cd^{2+}$  only SC ligands can find applications for water treatment. Into the outlined limits, the utilization of S ligands in the free form, or anchored on a solid support, is surely promising, and request further studies for determining the conditions of real use.

#### CRediT authorship contribution statement

**Rosita Cappai:** Conceptualization, Methodology, Formal analysis, Investigation, Data curation, Writing – original draft, Writing – review & editing, Supervision. **Alessandra Fantasia:** Formal analysis, Investigation, Writing – original draft. **Giampaolo Barone:** Validation, Formal analysis, Data curation. **Massimiliano F. Peana:** Validation, Data curation. **Alessio Pelucelli:** Investigation, Methodology. **Serenella Medici:** Conceptualization, Writing – review & editing. **Guido Crispioni:** Validation, Data curation, Writing – review & editing. **Valeria M. Nurchi:** Writing – original draft, Writing – review & editing, Funding acquisition, Resources. **Maria Antonietta Zoroddu:** Writing – original draft, Writing – review & editing, Funding acquisition, Resources.

#### Declaration of Competing Interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

#### Data availability

Data will be made available on request.

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## Appendix A. Supporting information

Supplementary data associated with this article can be found in the online version at [doi:10.1016/j.ecoenv.2023.115470](https://doi.org/10.1016/j.ecoenv.2023.115470).

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