Estimating the macroscopic capillary length from Beerkan infiltration experiments and its impact on saturated soil hydraulic conductivity predictions

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1 Estimating the macroscopic capillary length from Beerkan infiltration experiments and its impact on saturated soil hydraulic conductivity predictions 2

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15 Abstract

The macroscopic capillary length, λ_c , is a fundamental soil parameter expressing the relative 16 importance of the capillary over gravity forces during water movement in unsaturated soil. In this 17 18 investigation, we propose a simple field method for estimating λ_c using only a single-ring 19 infiltration experiment of the Beerkan type and measurements of initial and saturated soil water contents. We assumed that the intercept of the linear regression fitted to the steady-state portion of 20 21 the experimental infiltration curve could be used as a reliable predictor of λ_c . This hypothesis was validated by assessing the proposed calculation approach using both analytical and field data. The 22 23 analytical validation demonstrated that the proposed method was able to provide reliable λ_c 24 estimates over a wide range of soil textural characteristics and initial soil water contents. The field 25 testing was performed on a large database including 433 Beerkan infiltration experiments, with the 99% of the experiments yielding realistic λ_c values. The generated λ_c values were then used in 26 conjunction with four different methods for estimating saturated soil hydraulic conductivity, K_{s} . 27 Estimated K_s values were close to those generated by a reference method, with relative error < 25%28 29 in nearly all cases. By comparison, assuming constant or soil-dependent λ_c values caused relative errors in K_s of up to 600%. Altogether, the proposed method constitutes an easy solution for 30 estimating λ_c , which can improve our ability to estimate K_s in the field. 31

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Keywords: infiltration, macroscopic capillary length, Beerkan, ring infiltrometer, hydraulic
 conductivity.

34 Highlights

• The macroscopic capillary length (λ_c) is a key hydraulic parameter.

- We propose a simple field method to estimate λ_c from steady-state infiltration.
- We assessed the proposed calculation approach using both analytical and field data (n = 433).
- The proposed method can improve our ability to estimate hydraulic conductivity in the field.

39 **1. Introduction**

40 The macroscopic capillary length, λ_c (L), was first described by Bouwer (1964) and expresses 41 the relative importance of capillary over gravity forces during water movement in unsaturated soil 42 (Raats, 1976). More specifically, low λ_c values (e.g., $0 < \lambda_c \le 10$ mm) indicate a dominance of gravity over capillarity, and are typically found in coarse-textured or highly structured porous media 43 44 (Reynolds et al., 2002). Alternately, high λ_c values (i.e., > 1000 mm) indicate dominance of capillarity over gravity, as found in many fine-textured or poorly structured porous media (Table 45 1). λ_c can also be used to estimate the macroscopic pore radius (i.e., the average radius of the pores 46 that are active in flow) via the Young-Laplace equation (Kutilek and Nielsen, 1994), as suggested 47 48 by Roulier et al. (2002). Because it indicates soil capillarity, λ_c is included in many infiltrometer 49 methods for calculating K_s (e.g., Bagarello et al., 2017, 2014d, 2004; Elrick and Reynolds, 1992; 50 Nimmo et al., 2009; Reynolds and Elrick, 1990; Stewart and Abou Najm, 2018a; Wu et al., 1999). 51 It is thus important to accurately constrain λ_c under field conditions.

52 Previously developed methods to estimate λ_c have all presented some limitations. For instance, 53 the two-ponding depths method by Reynolds and Elrick (1990) requires measuring steady state flow 54 rates under two distinct water ponding conditions, thus inducing considerable effort and 55 experimental complexity. Bagarello et al. (2013) proposed empirical equations to estimate λ_c ;

however, those results were site specific and therefore lacked generality. In addition, those authors 56 57 used the cumulative linearization method (Smiles and Knight, 1976), which can fail in the presence of layered media, entrapped air, and vertical soil water content gradients (Vandervaere et al., 2000). 58 59 Other methods are based on the analysis of transient state data, as for the case of the Method 1 by Wu et al. (1999) and Approach 2 by Stewart and Abou Najm (2018a). However, these approaches 60 require accurate characterization of the transient state, which can be challenging under specific field 61 conditions such as highly permeable, slightly sorptive and water-repellent soils (Di Prima et al., 62 2019). Therefore, alternative methods for estimating λ_c from simple and replicable infiltration 63 experiments have the potential to substantially reduce the amount of work necessary to accurately 64 65 estimate soil hydraulic properties.

The first objective of this investigation was to validate a simple field method to estimate λ_c that 66 requires only a single-ring infiltration experiment taken to steady-state conditions (Lassabatere et 67 68 al., 2006) and estimates for initial and saturated soil water contents. To meet this objective, we first developed the theoretical analysis for the estimation of λ_c from a single Beerkan run. We then 69 70 validated the proposed method using analytically generated data, involving soils with different 71 texture and initial water contents, and an empirical infiltration database that included data from 433 field measurements collected during previous investigations. The second objective was to evaluate 72 how λ_c values generated by our approach affected predictions of K_s from infiltration experiments. 73 74 Here we used four different models to estimate K_s from steady-state infiltration, and then compared 75 those results with both reference values and with those estimated using constant and soil-dependent 76 λ_c values.

77 **2. Theory**

For water infiltrating into an unsaturated soil from a constant head source, the soil matric flux potential, ϕ_m (L² T⁻¹), is defined as (Gardner, 1958):

80
$$\phi_m = \int_{h_i}^{h_0} K(h) dh \qquad h_i \le h \le h_0$$
 (1a)

81 where K (L T⁻¹) is hydraulic conductivity and h (L) is water pressure head, with an initial value 82 h_i (L) and a source pressure head h_0 (L). Eq. (1a) simplifies to Eq. (1b) when water infiltrates from a 83 ponded source:

84
$$\phi_m = \int_{h_i}^0 K(h) dh \qquad \qquad h_i \le h \le 0$$
 (1b)

85 The macroscopic capillary length, λ_c (L), is defined as (Philip, 1985; Smith et al., 2002):

86
$$\lambda_c = \frac{\Phi_m}{\Delta K} \tag{2}$$

87 where ΔK represents the difference between the saturated soil hydraulic conductivity, K_s (L T⁻¹), 88 and the initial soil hydraulic conductivity, K_i (L T⁻¹), i.e., $\Delta K = K_s - K_i$.

According to White and Sully (1987), Eq. (2) can be rewritten as:

90
$$\lambda_c = \frac{b S^2}{\Delta \theta \, \Delta K} \tag{3}$$

91 where *b* is a dimensionless constant dependent on the shape of the soil water diffusivity function, 92 *S* (L T^{-0.5}) is the soil sorptivity (Philip, 1957), $\Delta\theta$ stands for the difference between the saturated, θ_s 93 (L³ L⁻³), and initial, θ_i (L³ L⁻³), volumetric soil water contents, i.e., $\Delta\theta = \theta_s - \theta_i$. For field soils, *b* is 94 commonly set equal to 0.55 even though it can theoretically vary from 1/2 to $\pi/4$ (White and Sully, 95 1987). K_i is often assumed negligible, such that $\Delta K = K_s$ (White and Sully, 1992).

Estimating λ_c with Eq. (3) requires prior determination of sorptivity and hydraulic conductivity. These quantities can be estimated thanks to water infiltration experiments and fitting to the quasiexact implicit (QEI) model developed by Haverkamp et al. (1994) or its related approximate expansions (see Lassabatere et al., 2009 for more details). Haverkamp et al. (1994) proposed the following approximate expansion for the description of the steady-state for three-dimensional (3D) water infiltration from a disc source while maintaining a zero water pressure head at the soil surface:

103
$$I_{3D}^{+\infty}(t) = \left(K_{s} + \frac{\gamma s^{2}}{r\Delta\theta}\right) t + \frac{s^{2}}{2(1-\beta)\Delta K} ln\left(\frac{1}{\beta}\right)$$
(4)

where *r* (L) is the radius of the source, and γ and β are two infiltration constants, often fixed at γ = 0.75 and β = 0.6 (Haverkamp et al., 1994). Eq. (4) was later extended to include infiltration experiments from cylindrical sources with a slightly ponded water source (Ross et al., 1996) with negligible effect on results.

108 Eq. (4) is a linear equation of the form:

109
$$I_{3D}^{+\infty}(t) = i_s t + b_s$$
 (5)

110 with b_s (L) and i_s (L T⁻¹) defined as functions of hydraulic conductivity and sorptivity as follows:

111
$$i_s = K_s + \frac{\gamma S^2}{r\Delta\theta}$$
 (6a)

112
$$b_{s} = \frac{s^{2}}{2(1-\beta)\Delta K} ln\left(\frac{1}{\beta}\right)$$
(6b)

In this study, we use Eq. (6b) to quantify the ratio between sorptivity and the difference in hydraulic conductivity, as previously suggested by Castellini et al. (2018):

115
$$\frac{S^2}{\Delta K} = \frac{b_s}{C}$$
(7a)

116
$$C = \frac{1}{2(1-\beta)} ln\left(\frac{1}{\beta}\right)$$
(7b)

117 Eqs. (3) and (7) can be combined to explicitly solve for λ_c :

118
$$\lambda_c = \frac{b S^2}{\Delta \theta \, \Delta K} = \frac{b}{\Delta \theta} \frac{b_s}{c}$$
(8a)

119
$$\lambda_c = \frac{b}{\frac{1}{2(1-\beta)} ln(\frac{1}{\beta})} \frac{b_s}{\Delta \theta}$$
(8b)

120 Under the common assumptions that b = 0.55 and $\beta = 0.6$, Eq. (8b) can be simplified as follows:

121
$$\lambda_c = 0.861 \frac{b_s}{\Delta \theta} \tag{9}$$

Eq. (9) constitutes a considerable simplification, as λ_c can now be estimated by only using the steady-state infiltration data (to determine b_s) and a measurement of the initial and saturated soil water contents, θ_i and θ_s . Indeed, b_s is calculated as the intercept of the linear regression fitted to the steady-state portion of the experimental infiltration curve (Eq. 5), so b_s calculation does not require the use of Eq. (6b). Note that the simplified proposed method combines equations related to two approaches with distinct, but not necessarily incompatible, assumptions. The first approach by White and Sully (1987) was originally developed assuming the Gardner (1958) model for the hydraulic conductivity function. The second approach developed by Haverkamp et al. (1994) and Smettem et al. (1994) does not expect any specific hydraulic functions, but requires that these functions follow a specific equation defining the infiltration constant β (equation 6 in Haverkamp et al., 1994).

Eq. (9) may also simplify and improve estimates for K_s , as λ_c is an important and often unknown parameter in many infiltration models. Four examples of methods that require λ_c to estimate K_s from steady-state infiltration data include:

137
$$K_{s} = \frac{\frac{i_{s}\pi r^{2}}{\lambda_{c}} \left(0.316\frac{d}{r} + 0.184\right)}{r\left(\frac{H}{\lambda_{c}} + 1\right) + \left(0.316\frac{d}{r} + 0.184\right)\frac{\pi r^{2}}{\lambda_{c}}}$$
(10)

138 **ii**) Method 2 by Wu et al. (1999) (**WU2**)

139
$$K_{s} = \frac{i_{s}}{0.9084 \left(\frac{H + \lambda_{c}}{G^{*}} + 1\right)}$$
(11)

140 iii) the Steady version of the Simplified method based on a Beerkan Infiltration run (SSBI)
141 by Bagarello et al. (2017)

142
$$K_s = \frac{i_s}{\frac{\gamma \gamma_w \lambda_c}{r} + 1}$$
(12)

143 **iv**) Approach 4 (A4) by Stewart and Abou Najm (2018b)

144
$$K_s = \frac{i_s}{\left(\frac{H+\lambda_c}{G^*}+1\right)}$$
(13)

where *d* (L) is the ring insertion depth into the soil, *r* (L) is the ring radius, $G^* = d + r/2$, *H* (L) is the ponding depth of water, and γ_w is a dimensionless constant related to the shape of the wetting front (White and Sully, 1987). γ , the infiltration constant defined above, was set equal to 0.75 (Smettem et al., 1994) and γ_w was set equal to 1.818, as suggested by Reynolds and Elrick (2002).

149 **3. Material and methods**

150 **3.1. Analytically generated data**

We assessed the accuracy of the proposed calculation approach for λ_c and K_s by using the same six soils considered by Hinnell et al. (2009) and Bagarello et al. (2017): sand, loamy sand, sandy loam, loam, silt loam, silty clay loam. These soils were chosen to cover a wide range of hydraulic responses. We modelled the infiltration experiments for these synthetic soils using the infiltration model proposed by Smettem et al. (1994):

156
$$I(t) = I_{1D}(t) + \frac{\gamma S^2}{r_d \Delta \theta}$$
(14)

where I (L) is 3D cumulative infiltration and I_{1D} (L) is the 1D cumulative infiltration into an uniform, initially unsaturated soil profile, which can be modelled by the following implicit equation (Haverkamp et al., 1990):

160
$$\frac{2\Delta K^2}{S^2}t = \frac{1}{1-\beta} \left[\frac{2\Delta K}{S^2} (I_{1D}(t) - K_i t) - ln \left(\frac{exp \left(2\beta \frac{\Delta K}{S^2} (I_{1D}(t) - K_i t) \right) + \beta - 1}{\beta} \right) \right]$$
(15)

To also test the effect of the initial soil water content on parameters predictions, initial values of *Se*, ranging from 0.1 to 0.8 were converted to equivalent θ_i values for each soil using the relationship $Se = (\theta_i - \theta_r)/(\theta_s - \theta_r)$, with θ_r (L³ L⁻³) representing the residual water content. The sorptivity was then estimated as follows (Parlange, 1975):

165
$$S = \sqrt{\int_{h(\theta_i)}^0 (\theta_s + \theta - 2\theta_i) K(h) dh}$$
(16)

The integrals in Eqs. (16) and (1) were computed using the intg function defined in Scilab (Campbell et al., 2010). The water retention curve and the hydraulic conductivity functions were calculated according to the van Genuchten–Mualem model (Mualem, 1976; van Genuchten, 1980):

169
$$Se = \left[\frac{1}{1 + (\alpha_{VG}|h|)^n}\right]^m$$
(17a)

170
$$m = 1 - \frac{1}{n}$$
 (17b)

171
$$K(Se) = K_s Se^l \left[1 - \left(1 - Se^{1/m} \right)^m \right]^2$$
(17c)

where α_{VG} (L⁻¹) is an empirical parameter related to the water pressure head, *n* is the pore size distribution index, and *l* is the pore connectivity parameter, which we assumed to be 0.5 following Mualem (1976). Hydraulic parameters for the six synthetic soils were taken from Carsel and Parrish (1988), with *K*_s values reported in that text used to represent the reference saturated hydraulic conductivity (**Table 2**). Default values of $\beta = 0.6$ and $\gamma = 0.75$ were assumed, as commonly suggested by many investigations (Angulo-Jaramillo et al., 2019).

To ensure steady-state conditions, each infiltration process was modelled for a period three times longer than the maximum time for which the explicit short-term expansion of Eq.(15) (Haverkamp et al., 1994) is considered valid, with t_{max} (T) calculated as follows (Lassabatere et al., 2006):

181
$$t_{max} = \frac{1}{4(1-B)^2} \left(\frac{S}{K_s}\right)^2$$
(18a)

182
$$B = \frac{2-\beta}{3} \left(1 - \frac{K_i}{K_s} \right) + \frac{K_i}{K_s}$$
(18b)

These analytical data were used to estimate the intercept, b_s (L), and the slope, i_s (L T⁻¹), by linear regression analysis of the last three data points of the cumulative infiltration time series. Then, we defined the estimator for λ_c , $\hat{\lambda}_c$, using Eq. (9) and the estimator for K_s , \hat{K}_s , via the standard predictive equations for K_s (Eqs. 10-13).

187 The reference macroscopic capillary length, λ_c , was calculated for each combination of soil type 188 and initial *Se* value using Eq. (2). Relative error, *Er*, was then calculated for each estimated value 189 for $\hat{\lambda}_c$ and \hat{K}_s compared to the corresponding reference value (i.e., λ_c and K_s) as follows:

190
$$Er(x) = 100 \times \frac{\hat{x} - x}{x}$$
 (19)

where \hat{x} is the estimated value and x is the target, i.e., the reference value λ_c (Eq. 2) or K_s (**Table** 2). According to the accuracy criterion by Reynolds (2013), the estimates were deemed accurate when they fell within the range $0.75 \leq \hat{x}/x \leq 1.25$ (i.e. $\leq 25\%$ error). This stringent criterion was used because the parameters were estimated by analytically generated data, and therefore were free of the perturbations embedded in field and laboratory measurements (e.g., measurement error, random noise and natural variability).

197

3.2. The Beerkan infiltration database

In this investigation we also considered a large database of single ring (Beerkan) infiltration experiments carried out in four different countries, Italy, Burundi, France and Spain, during the period 2010-2017 (**Table 3**). Nearly half of the runs were carried out in Sicily, Italy (202 out of 433), and another ~1/3 of the runs (152 out of 433) were carried out in Burundi in the African Great Lakes region. The tested soils covered a range of textures, from sandy to clayey (**Figure 1**).

The Beerkan experiment is a variation of the single-ring infiltrometer technique, which consists 203 204 of infiltrating water through a ring inserted shallowly (e.g., 1 cm) into the soil with a quasi-zero head of water imposed on the soil surface (Braud et al., 2005). All Beerkan experiments were 205 carried out according to the methodology described by Lassabatere et al. (2006). First, a stainless 206 steel ring was inserted shallowly into the soil (~1 cm). Then, water was poured on the confined soil 207 surface in fixed volume increments (V) to establish and maintain ponding conditions. The 208 209 increments, V, ranged from 17 to 800 mL depending on ring diameter (Table 3). The energy of the falling water was dissipated with fingers to minimize the soil disturbance owing to water pouring, 210 211 as commonly suggested (e.g., Di Prima et al., 2019). For each poured volume, the time needed for 212 the water to infiltrate was recorded. The total number of poured volumes varied depending on time needed to reach steady state, as required by the Beerkan method (Angulo-Jaramillo et al., 2019). 213

We then estimated the intercept, b_s (mm), and the slope, i_s (mm h⁻¹), of the regression line fitted to the cumulative infiltration time series. The final three data points were used, as those were assumed to represent steady state infiltration conditions. We estimated K_s by using Eqs. (10-13) and constraining λ_c through three different approaches:

- 218
- **Scenario 1:** determining λ_c through Eq. (9);
- 220

219

• Scenario 2: using $\lambda_c = 83$ mm, taking into account that it represents the suggested first approximation value for most field soils (Elrick and Reynolds, 1992);

221

222

223

• Scenario 3: using a soil-dependent λ_c value according to Table 1. Specifically, we used $\lambda_c = 250$ mm for soils with sand content < 20%, 83 mm for sand contents between 20 and 70%, and 28 mm when the soil had > 70% sand (Bagarello et al., 2017).

224 For the experimental dataset, the comparison of the estimator to the target is not possible. Indeed, we don't have any information on the real value of the macroscopic capillary length, given 225 226 that previously developed methods to estimate λ_c have all presented some limitations, as discussed in the Introduction. Instead, we compared the estimates to representative values from the five soil 227 capillarity categories suggested by Elrick and Reynolds (1992). Note that these categories were 228 originally proposed to select a representative value for five soil texture-structure categories (Table 229 230 1) when calculating K_s by the OPD method (Angulo-Jaramillo et al., 2016). In this investigation, we 231 also proposed range of values for each category as detailed in Table 1. The range values of the intermediate categories (strong, moderate and weak) were calculated as the mean of the 232 representative values of two consecutive categories. 233

The same issue arises for the estimation of the saturated hydraulic conductivity. In this case, we chose to use the BEST-steady method proposed by Bagarello et al. (2014c) as a benchmark, as an independent K_s datum that can be used for assessing simplified procedures or validating newly developed methods. This method estimates K_s as follows:

238
$$K_{s,BEST} = \frac{C \, i_s}{A \, b_s + C} \tag{20a}$$

239
$$A = \frac{\gamma}{r(\theta_s - \theta_i)}$$
(20b)

Note that we also chose the Bagarello et al. (2014c) method because it requires the same experimental information as the λ_c -dependent methods considered in this investigation for estimating K_s , yet does not require an estimate of λ_c . We also avoided using laboratory measurements as benchmark, as they can induce experimental artifacts, such as soil compaction and samples biased by pores, that may limit their comparability with in-situ measurements (Haverkamp et al., 1999). To compare K_s values estimated by Eqs. (10-13) with the reference $K_{s,BEST}$ values obtained by Eq. (20), we again used the relative error metric (Eq. 19). We also calculated paired differences for each method, i.e., $K_{s,BEST}$ - K_s and checked them for normality using the Kolmogorov-Smirnov test. For non-normally distributed data we used the Wilcoxon signed rank test to evaluate the median difference between paired observations at the 95% confidence level. All statistical analyses were carried out using the Minitab© computer program (Minitab Inc., State College, PA, USA).

252 **4. Results**

4.1. Analytical validation

4.1.1. Estimating λ_c from analytically generated data

When applied to the six synthetic soils, Eq. (2) yielded the highest λ_c values for fine-textured 255 soils (Table 4). This is logical, since for fine soils the capillary contribution to water flow was 256 257 higher than for coarser soils. More specifically, high λ_c values were associated to initially flat K(h)258 relationships, i.e., when a decrease in pressure head determined a moderate pore emptying (Angulo-Jaramillo et al., 2016; Reynolds, 1994). Moreover, λ_c values decreased for all soils as *Se* increased. 259 When cumulative infiltration was calculated for all synthetic soils (Table 2) using Eqs. (14) and 260 261 (15), all curves exhibited a typical concave shape as a function of time (Figure 2). As the process approached steady state, cumulative infiltration curves became approximately linear with time. This 262 263 behaviour shows how the influence of capillarity decreases as the wetting front moves away from 264 the source and the hydraulic gradient decreases (Xu et al., 2012). Note that the duration of the infiltration process decreased for higher values of Se, as steady-state conditions were attained in less 265 time. In these cases, capillary forces only influenced infiltration during the early stage of the 266 267 process.

The value of the linear regression model intercept, b_s , estimated from each curve was used in conjunction with the known θ_i and θ_s values to calculate λ_c using Eq. (9). Both b_s and i_s decreased in all soils as *Se* increased. b_s ranged from 3.1 to 35.1 mm, with larger values corresponding to the fine-textured silt loam and silty clay loam soils (**Table 4**). The slope of the linear regression model *i_s* had values as low as 1.7 mm h⁻¹ (fine soil) and as high as 516.7 mm h⁻¹ (coarse soil)

The estimated $\hat{\lambda}_c$ for the six synthetic soils ranged from 36.3 to 87.8 mm, and were classified 273 only into weak or moderate capillary categories (Table 1), although those soils had textures which 274 ranged from sand to silty clay loam. Relative error, $Er(\lambda_c)$, between estimated $\hat{\lambda}_c$ and reference λ_c 275 values ranged from -7.9 to 23.3%, indicating that all λ_c values were accurate based on our stated 276 criterion. The largest $Er(\lambda_c)$ values were obtained for the coarse-textured sandy and loamy sand 277 278 soils under initial wet conditions (Figure 3). Indeed, neglecting K_i is expected to introduce more uncertainty on λ_c estimations for higher Se values. Nevertheless, λ_c estimates were sufficiently 279 accurate also in these cases, with error always < 25%. 280

281

4.1.2. Estimating *K*^s from analytically generated data

282 The values of the slope, i_s , estimated from the analytically generated curves were used to calculate K_s by the four λ_c -dependent methods, i.e., OPD (Eq. 10), WU2 (Eq. 11), SSBI (Eq. 12), 283 A4 (Eq. 13). Then, relative error, $Er(K_s)$, was calculated using Eq. (14) (Figure 4). $Er(K_s)$ ranged 284 from -9.5 to 3.1% for OPD, from -5.4 to 7.4% for WU2, from 2.2 to 24.7% for SSBI, and from -14 285 to -2.4 % for A4. While we observe higher $|Er(\lambda_c)|$ values for initial wet conditions (Figure 3), for 286 287 K_s , we observe similar trends between the four methods but also a consistent vertical shift of the $Er(K_s)$ values. For instance, for the SSBI method, lower errors corresponded to higher Se values. 288 Conversely, for the A4 method, the errors always increased for increasing Se values, given that this 289 290 method always underestimated K_s . We therefore argue that the discrepancies between the four methods were more relevant than the variations within a specific method due to different initial 291 saturation degree. Nevertheless, the four methods always yielded K_s estimates close to the reference 292 values, since $|Er(K_s)|$ values were always < 25%. Mean $|Er(K_s)|$ values were ordered as OPD < A4 < 293 294 WU2 < SSBI, showing that the OPD method yielded the lower $|Er(K_s)|$ values.

4.2. Field testing

296

4.2.1. Estimating λ_c from the Beerkan infiltration database

Eq. (9) was also used to estimate λ_c from the field-based single ring (Beerkan) infiltration 297 298 experiments. The procedure worked for nearly all Beerkan tests; however, six of the tests had infiltration rates that increased with time (i.e., the cumulative infiltration curves exhibited convex 299 shapes). Fitting Eq. (5) to those data yielded negative value for the intercept, b_s , which led to 300 negative values for λ_c , which is meaningless from a physical point of view. Those six cases – two at 301 302 the Kinyami site, one at the Palermo - SAAF site, and three at the Crépieux-Charmy site - were excluded from subsequent analysis. The remaining 427 successful tests yielded λ_c values ranging 303 304 from 1.5 to 737.7 mm (**Table 5**), thus covering the full range of soil capillarity categories suggested by Elrick and Reynolds (1992) (Table 1). 305

306 Across all soils, there was a consistent yet non-linear relationship between λ_c values and their 307 corresponding intercepts b_s (Figure 5a). As shown by three different examples of λ_c estimation, cumulative infiltration shapes and times to steady-state conditions varied widely between soils with 308 309 moderate (Figure 5b), strong (Figure 5c), and negligible capillarity (Figure 5d). In the first case 310 (Figure 5b), cumulative infiltration exhibited the typical concave shape as a function of time. For this run, we estimated b_s value of 30.9 mm and a λ_c value of 49.2 mm (moderate capillarity). In the 311 second case (Figure 5c), the cumulative infiltration curve exhibited a strong concave shape with a 312 313 b_s value of 209.6 mm, yielding a λ_c value of 598.7 mm (strong capillarity). This behavior is typical for very fine soils, with low permeability. For this run, capillary forces predominated for almost the 314 entire duration of the experiment. In the third case (Figure 5d), the cumulative infiltration curve 315 had an almost linear shape with a b_s value of 1.0 mm, which translated to a λ_c value of 1.5 mm, i.e., 316 lower than the considered threshold of 10 mm for negligible capillarity forces. This behaviour is 317 318 typical for coarse-textured soils and occurs when the infiltration process is mainly driven by gravity. Altogether, 127 (29.7%) of the λ_c values represented strong capillarity conditions, 189 319

values (44.3%) represented moderate capillarity, 107 values (25.1%) represented weak capillary,
and 4 values (0.9%) represented negligible capillarity.

322

4.2.2. Estimating *K*_s from the Beerkan infiltration database

The λ_c values determined through Eq. (9) were next used with four methods (i.e., OPD, WU2, 323 SSBI, A4) to estimate K_s for the Beerkan dataset. The Wilcoxon signed rank test showed that all 324 methods yielded K_s estimates significantly different from the BEST-steady values, and the 325 differences between K_s and $K_{s,BEST}$ were always non-normally distributed according to the 326 327 Kolmogorov-Smirnov test. However, the discrepancies between methods were always < 25%, with the exception of the SSBI method (Figure 6a). The WU2 method yielded the best overall fit with 328 329 the BEST-steady values, with $Er(K_s)$ values between -12.1 and 22.1%. The WU2 method yielded 330 lower K_s estimates than the BEST-estimated values for 76% of the runs and higher K_s values for 24% of runs, and the median K_s values for the two methods differed by only a factor of 1.002. The 331 OPD and A4 methods also performed well, though those methods tended to under-predict K_s to a 332 greater extent than WU2, with 82% of OPD runs and 91% of A4 runs under-predicting K_s . The 333 SSBI method, by contrast, yielded K_s values that were higher than $K_{s,BEST}$ with only a single 334 335 exception. The $Er(K_s)$ values ranged from -1.0 to 32.8%, with 21% of the runs (88 out of 427) yielding higher values than the considered threshold of 25%. 336

Using constant (Scenario 2) or a soil-dependent (Scenario 3) λ_c values resulted in greater 337 338 difference between K_s and $K_{s,BEST}$. With the constant λ_c value (Scenario 2), $Er(K_s)$ values ranged from -66.8 to 576.9% (Figure 6b), with 68.4% of OPD runs, 68.1% of WU2 runs, 55.7% of SSBI 339 runs and 72.1% of A4 runs yielding higher values than the considered threshold of 25%. With the 340 341 soil-dependent λ_c values (Scenario 3), $Er(K_s)$ values ranged from -82.9 to 486.5% (Figure 6c), with 70.5% of OPD runs, 69.3% of WU2 runs, 62.3% of SSBI runs and 74.0% of A4 runs yielding 342 343 higher values than the considered threshold. These results also suggest that among the four 344 considered methods, the SSBI was the least sensitive to the assumed λ_c value, with less runs (55.7)

and 62.3% for scenario 2 and 3, respectively) yielding higher $Er(K_s)$ values than the considered threshold of 25%.

347 **5. Discussion**

In this investigation, we developed a new procedure to estimate λ_c using simple Beerkan 348 infiltration experiments and measurements of initial and saturated soil water contents (Eq. 9). 349 Previous investigations also suggested that the measured infiltration curve contains the necessary 350 information to estimate λ_c (Bagarello et al., 2014d, e.g., 2013; Stewart and Abou Najm, 2018a; Wu 351 352 et al., 1999). However, those methods are based on the analysis of the transient infiltration process and can be subject to considerable error, particularly due to uncertainties with the duration of the 353 transient phase (Vandervaere et al., 2000). In contrast, the proposed method uses measurements 354 355 collected during the steady-state stage of the infiltration process, where the infiltration rate (i_s) is assumed to be independent of the initial infiltration phase (Bagarello et al., 2013). Estimating λ_c 356 using the proposed method requires linear regression analysis of cumulative infiltration versus time 357 to determine the intercept (b_s) . Because the magnitude of b_s depends on the entire cumulative 358 infiltration curve (including the transient phase), that term is sensitive to the relative importance of 359 360 capillary and gravity forces during ponded infiltration (Angulo-Jaramillo et al., 2019). Specifically, small b_s values indicate a linear infiltration curve, i.e., when gravity prevails over capillarity, which 361 362 occurs primarily in coarse-textured and/or highly structured porous media. On the contrary, high 363 intercept values indicate conditions when capillarity prevails over gravity, particularly in the transient infiltration phase, which occurs primarily in fine-textured soils. Therefore, b_s is expected 364 to be a reliable predictor of the macroscopic capillary length, but one that necessitates collecting 365 366 accurate data during the final stage of the infiltration process.

In this investigation, the proposed method (Eq. 9) was validated using both analytical and field data. The analytical verification demonstrated that Eq. (9) provided reliable λ_c estimates in nearly all conditions, including different soils and, for the same soils, under different initial soil water contents. For the field data, verification was conducted using a set of 427 Beerkan infiltration experiments carried out on different soils having a range of textural characteristics, i.e., from sandy to clayey. That analysis showed that nearly all soils (i.e., 99.1% of the experiments) yielded λ_c values falling within the realistic range $10 \le \lambda_c \le 1000$ mm (Reynolds and Elrick, 2002); only four cases yielded λ_c values lower than 10 mm. Further, the proposed method predicted $\hat{\lambda}_c$ values very close to the reference λ_c values, with all tests having relative errors between -23.2% and 7.9%. The consistency of Eq. (9) shows that it is a suitable method to constrain λ_c .

Many models to estimate K_s from field measurements (e.g., Eqs. 10-13), require knowledge of 377 378 λ_c , which is often estimated based on general descriptions of soil textural and structural 379 characteristics (e.g., Table 1). Previous research has shown that choosing an incorrect capillarity category can lead to threefold or greater error in estimated K_s (Bagarello et al., 2014d), and that K_s 380 381 estimates are more sensitive to underpredictions of λ_c compared with overpredictions (Stewart and Abou Najm, 2018b). In this investigation we demonstrated that using constant or a soil-dependent 382 λ_c value may result in considerably greater relative error when predicting K_s . Specifically, using Eq. 383 384 (9) resulted in relative errors < 25% for all Beerkan tests when analyzed with three of the four methods, and $\leq 30\%$ when the fourth method was used. By comparison, assuming $\lambda_c = 83$ mm 385 resulted in relative error up to 600%, and using a soil-dependent λ_c value caused relative errors of 386 387 close to 500%.

388 Beyond its use in estimating K_s , λ_c can provide information on soil pore structure and water 389 retention (Stewart and Abou Najm, 2018b), making it important to have a simple method for use in the field. For example, the proposed method for constraining λ_c may also facilitate the estimation of 390 dynamic indicators, such as the flow-weighted mean pore radius and the number of hydraulically 391 392 active pores per unit area previously proposed by Warrick and Broadbridge (1992) and Watson and 393 Luxmoore (1986). The flow-weighted mean pore radius represents the size of pores that are actively conducting and it expresses the ability of a soil to transmit water (Reynolds and Elrick, 2005). 394 395 These indicators, quantitatively linked to λ_c and K_s , are useful to understand the effects of land use

and management on soil physical quality (Bouarafa et al., 2019; Castellini et al., 2019; Iovino et al., 396 2016). Therefore, accurate estimation of λ_c through Eq. (9) could also facilitate determination of 397 dynamic indicators from infiltration experiments and improve soil quality assessment. 398

399

6. Summary and conclusions

In this investigation, we assessed a simple field method for estimating the macroscopic capillary 400 length, λ_c , by only using a single-ring infiltration experiment of the Beerkan type and a 401 measurement of the initial and saturated soil water contents. We validated the proposed method 402 using both analytically generated data and a large database of 433 Beerkan infiltration experiments 403 404 carried out in four countries (Italy, Burundi, France and Spain) over the period 2010-2017. The analytical validation supported our hypothesis that the intercept, b_s , is a reliable predictor of the 405 406 macroscopic capillary length, while the testing carried out using the Beerkan database increased our confidence that the approach performs well under field conditions. Therefore, we conclude that the 407 408 method proposed here constitutes an easy and effective solution for constraining λ_c , which at the 409 same time can help users to better estimate K_s from field infiltration measurements. The proposed 410 procedure may also avoid uncertainty due to an imprecise description of the transient state of infiltration, and any subjectivity caused by the selection of a representative λ_c value based solely on 411 412 textural or structural characteristics.

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- 422 and developing the final version of the manuscript.
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588

Table 1. Soil capillarity categories suggested by Elrick and Reynolds (1992), and representative λ_c (mm)

590	values.	The suggested	range values	are also reported.
		00		

Soil capillarity category	Representative λ_c (mm)	λ_c range values
Very strong	≥1000	
Strong	250	$125 < \lambda_c < 1000$
Moderate	83	42 <u>≤</u> λ _c ≤125
Weak	28	10<λ _c <42
Negligible	≤10	

593 Table 2. Soil hydraulic parameters for the five studied soils used to model the infiltration experiments,

594 originally from Carsel and Parrish (1988).

Soil texture	Sand	Loamy Sand	Sandy Loam	Loam	Silt Loam	Silty Clay Loam
θ_r	0.045	0.057	0.065	0.078	0.067	0.089
Θ_s	0.43	0.41	0.41	0.43	0.45	0.43
$\alpha_{\rm VG} ({\rm mm}^{-1})$	0.0145	0.0124	0.0075	0.0036	0.002	0.001
n	2.68	2.28	1.89	1.56	1.41	1.23
K_{s} (mm h ⁻¹)	297.0	145.9	44.2	10.44	4.5	0.7
1	0.5	0.5	0.5	0.5	0.5	0.5

595

597 Table 3. Summary of the Beerkan infiltration database. Total number of Beerkan infiltration

experiments $(N_{tot}) = 433$. 598

Country	Site	Ν	D (cm)	V(mL)	Coordinates	Reference
Burundi	Nyamutobo (Ruyigi)	77	15	150	3°27'50" S, 30°15'40" E	Bagarello et al. (2011)
Burundi	Kinyami (Ngozi)	20	15	150	2°54'30" S, 29°49'06" E	
Italy	Giampilieri	11	15	150	38°4'8" N, 15°28'26" E	Bagarello et al. (2013)
Italy	Palermo - SAAF† (Sicily)	8	30	800	38°6'25" N, 13°21'6" E	Bagarello et al. (2014b)
Italy	Caccamo (Sicily)	4	30	800	37°52'34" N, 13°38'43" E	
Italy	Corleone (Sicily)	20	30	800	37°48'35" N, 13°17'49" E	
Italy	Sparacia (Sicily)	8	30	800	37°38'11" N, 13°45'50" E	
Italy	Palermo - SAAF (Sicily)	10	8.5	64	38°6'25" N, 13°21'6" E	Bagarello et al. (2014a)
Italy	Sparacia (Sicily)	10	8.5	64	37°38'10" N, 13°45'59" E	
Italy	Palermo - Parco d'Orleans (Sicily)	10	8.5	64	38°6'26" N, 13°20'59" E	
Italy	Villabate (Sicily)	10	8.5	64	38°4'53" N, 13°25'7" E	
Italy	Palermo - SAAF (Sicily)	12	15	200	38°6'25" N, 13°21'6" E	Bagarello et al. (2014c)
Italy	Palermo - SAAF (Sicily)	4	30	800	38°6'25" N, 13°21'6" E	
Italy	Pietranera (Sicily)	4	15	200	37°32'25" N, 13°30'44" E	
Italy	Pietranera (Sicily)	4	30	800	37°32'25" N, 13°30'44" E	
Italy	Caccamo (Sicily)	4	15	200	37°52'34" N, 13°38'43" E	
Italy	Corleone (Sicily)	20	15	200	37°48'35" N, 13°17'49" E	
Italy	Sparacia (Sicily)	8	15	200	37°38'11" N, 13°45'50" E	
Burundi	Nyamutobo (Ruyigi)	75	15	150	3°27'50" S, 30°15'40" E	
Italy	Palermo - Parco d'Orleans (Sicily)	10	15	150	38°6'26" N, 13°20'59" E	Alagna et al. (2016)
Italy	Palermo - SAAF (Sicily)	10	15	150	38°6'25" N, 13°21'6" E	Di Prima et al. (2016)
Italy	Palermo - Parco d'Orleans (Sicily)	10	15	150	38°6'26" N, 13°20'59" E	
Italy	Sparacia (Sicily)	10	15	150	37°38'10" N, 13°45'59" E	
France	Crépieux-Charmy (Lyon)	9	15	150	45°47'42" N, 4°53'19" E	
Spain	Les Alcusses de Moixent (Valencia)	10	8.5	48	38°48'33"N, 0°49'3"O	Di Prima et al. (2017)
Italy	Palermo - SAAF (Sicily)	5	5	17	38°6'25" N, 13°21'6" E	Di Prima et al. (2018a)
Italy	Palermo - Parco d'Orleans (Sicily)	5	5	17	38°6'26" N, 13°20'59" E	
Italy	Sparacia (Sicily)	5	5	17	37°38'10" N, 13°45'59" E	
Italy	Baratz Lake watershed (Sardinia)	40	8	43	40°41'53" N, 8°14'4" E	Di Prima et al. (2018b)

[†] Department of Agricultural, Food and Forest Sciences (SAAF = Scienze Agrarie, Alimentari e Forestali). N = Number of Beerkan infiltration experiments; D (cm) = ring diameter; V (mL) = water volume applied with each pouring.

603	Table 4.	Summary	of the soil	hydraulic	properties	for the six	synthetic soils.
				J	r rr r r		

Soil	Se	h_i	θ_i	S	¢	λ_c	$\hat{\lambda}_{c}$	i _s	b_s		\widehat{K}_{s} (m	m h ⁻¹)	
		(mm)	(m^3m^{-3})	(mm h ^{-0.5})	$(mm^2 h^{-1})$	(mm)	(mm)	$(mm h^{-1})$	(mm)	OPD	WU2	SSBI	A4
				(Eq. 16)	(Eq. 1b)	(Eq. 2)	(Eq. 9)			(Eq. 10)	(Eq. 11)	(Eq. 12)	(Eq. 13)
Sand	0.1	269.0	0.083	86.5	11308.6	38.1	37.2	516.7	15.0	304.3	319.1	341.3	289.8
	0.2	174.5	0.122	81.3	11298.6	38.0	37.0	515.3	13.2	304.2	319.0	341.0	289.7
	0.3	133.1	0.160	75.7	11268.5	37.9	36.8	513.3	11.5	303.7	318.5	340.3	289.3
	0.4	107.8	0.199	69.6	11202.4	37.7	36.7	510.6	9.8	302.4	317.2	338.8	288.1
	0.5	89.7	0.237	62.9	11076.7	37.3	36.7	506.5	8.2	299.8	314.4	335.9	285.6
	0.6	75.2	0.276	55.5	10854.0	36.5	37.1	500.4	6.6	294.9	309.2	330.7	280.9
	0.7	62.5	0.314	46.9	10467.3	35.2	38.2	491.1	5.1	286.1	299.8	321.6	272.3
	0.8	50.2	0.353	36.8	9776.1	32.9	40.5	476.0	3.6	270.3	282.7	305.4	256.8
Loamy Sand	0.1	483.8	0.092	58.2	5602.2	38.4	37.4	254.5	13.8	149.5	156.7	167.8	142.4
	0.2	276.4	0.128	54.7	5598.0	38.4	37.2	253.8	12.2	149.5	156.7	167.6	142.4
	0.3	195.6	0.163	50.9	5584.2	38.3	36.9	252.8	10.6	149.3	156.6	167.4	142.3
	0.4	150.0	0.198	46.8	5552.5	38.1	36.6	251.4	9.0	149.0	156.2	166.9	141.9
	0.5	119.2	0.233	42.3	5490.2	37.6	36.4	249.3	1.5	148.1	155.4	165.8	141.2
	0.0	95.9	0.209	37.3 21.5	5370.9	30.9 25 5	30.3 26.5	240.1	0.0	140.4	155.0	165.9	139.5
	0.7	70.3 58.9	0.304	24.6	4811.2	33.0	30.3	241.5	4.5	145.2	143.8	153.9	130.5
Sandy Loam	0.1	1765.2	0.100	36.0	2145.5	49.7	48.3	86.5	17.4	45.4	47.2	52.1	42.9
	0.2	799.2	0.134	33.8	2145.5	49.7	48.0	86.3	15.4	45.4	47.2	52.0	42.9
	0.3	494.2	0.169	31.5	2140.3	49.5	47.6	85.9	13.4	45.4	47.2	52.0	42.9
	0.4	344.1	0.203	29.0	2129.8	49.3	47.1	85.3	11.3	45.3	47.2	51.8	42.9
	0.5	253.1	0.238	26.2	2107.9	48.8	46.5	84.5	9.3	45.2	47.0	51.6	42.7
	0.6	190.3	0.272	23.1	2066.0	47.8	45.7	83.3	7.3	44.8	46.7	51.2	42.5
	0.7	142.4	0.307	19.5	1988.8	46.0	44.9	81.4	5.4	44.2	46.1	50.3	41.8
	0.8	102.3	0.341	15.2	1843.8	42.7	44.0	78.1	3.5	42.8	44.6	48.7	40.6
Loam	0.1	16941.8	0.113	20.9	719.7	69.2	67.6	24.3	24.9	10.7	11.0	12.6	10.0
	0.2	4883.1	0.148	19.6	719.6	69.2	67.2	24.2	22.0	10.7	11.0	12.6	10.0
	0.3	2330.8	0.184	18.3	718.8	69.1	66.6	24.1	19.1	10.7	11.0	12.6	10.0
	0.4	1354.4	0.219	16.8	716.5	68.9	65.9	23.9	16.2	10.7	11.0	12.6	10.0
	0.5	866.2	0.254	15.2	711.0	68.4	64.8	23.7	13.2	10.7	11.0	12.6	10.0
	0.6	579.6	0.289	13.4	699.3	67.2	63.2	23.3	10.3	10.7	11.0	12.5	10.0
	0.7	390.5	0.324	11.3	676.0	65.0	61.0	22.7	7.5	10.6	10.9	12.4	9.9
011 X	0.8	252.5	0.360	8.8	629.2	60.5	57.7	21.6	4.7	10.4	10.8	12.1	9.8
Silt Loam	0.1	1.4×10 ⁹	0.105	16.3	402.8	89.5	87.8	12.3	35.1	4.6	4.7	5.6	4.3
	0.2	25267.3	0.144	15.3	402.8	89.5	87.3	12.2	31.1	4.6	4./	5.6	4.3
	0.5	9318.3 4520.9	0.182	14.3	402.0	89.5	80.0	12.2	27.0	4.0	4.7	5.0 5.6	4.5
	0.4	4529.6	0.220	15.2	401.8	69.5 00 0	83.7 84.4	12.1	10.9	4.0	4.7	5.0	4.5
	0.5	2551.0	0.238	11.9	399.0	00.0 87.6	04.4 82.4	12.0	10.0	4.0	4.7	5.0	4.5
	0.0	033.0	0.297	10.5	394.4	85.0	02.4 70.3	11.0	14.7	4.0	4.7	5.0	4.5
	0.7	553.5	0.355	7.0	359.1	79.8	74.2	10.9	6.6	4.0	4.7	5.5	4.3
Silty Clay Loam	0.0	2.2×10^7	0.123	6.0	60.0	85.7	8/1.0	10.9	30.3	0.7	0.7	0.9	0.7
Sinty Clay Loan	0.1	1.1×10^{6}	0.123	5.6	60.0	85.7	84.5	1.9	26.8	0.7	0.7	0.9	0.7
	0.2	1.1×10^{5} 1.9Ex10 ⁵	0.191	5.0	60.0	85.7	84.1	1.9	23.3	0.7	0.7	0.9	0.7
	0.4	53399.3	0.225	4.8	60.0	85.7	83.4	1.9	19.8	0.7	0.7	0.9	0.7
	0.5	19954.8	0.259	4.4	59.9	85.5	82.5	1.8	16.3	0.7	0.7	0.9	0.7
	0.6	8725.5	0.294	3.9	59.5	85.0	81.0	1.8	12.8	0.7	0.7	0.9	0.7
	0.7	4137.5	0.328	3.3	58.5	83.6	78.5	1.8	9.3	0.7	0.7	0.9	0.7
	0.8	1966.9	0.362	2.6	55.9	79.9	73.6	1.7	5.8	0.7	0.7	0.9	0.7

Statistic	λ_c	$K_s (\mathrm{mm} \mathrm{h}^{-1})$								
	(mm)	BEST-steady	OPD	WU2	SSBI	A4				
	(Eq. 9)	(Eq. 20)	(Eq. 10)	(Eq. 11)	(Eq. 12)	(Eq. 13)				
Ν	427	427	427	427	427	427				
min	1.5	1.3	1.4	1.4	1.6	1.2				
max	737.7	3550.9	3294.1	3493.8	3758.7	3173.7				
mean	112.6	270.7	258.5	269.3	305.2	244.6				
median	68.0	156.8	153.3	156.4	182.4	142.0				
CV	101.3	142.0	140.1	141.3	137.6	141.3				

Table 5. Summary of the soil hydraulic properties estimated from the Beerkan database.

608 **Figure 1**. Textural classification of the soils included in the Beerkan infiltration database.

Figure 2. Cumulative infiltration curves for different soils and initial effective saturation degrees, *Se*. The curves were generated analytically using Eqs. (14) and (15) and the parameters listed in **Table 2**. The labels in ordinate and abscissa report respectively the total infiltrated water and the duration of the infiltration process. Note that the duration was fixed at three times the maximum time (t_{max}) for which the explicit transient infiltration model proposed by Haverkamp et al. (19994) is valid.

Figure 3. Relative error, $Er(\lambda_c)$, of the estimated macroscopic capillary length compared to reference values for six synthetic soils listed in **Table 2**.

Figure 4. Relative error of the estimated values for saturated soil hydraulic conductivity, $Er(K_s)$, for six synthetic soils that were analyzed using four different methods. OPD = one-ponding depth (Eq. 10); WU2 = Method 2 (Eq. 11); SSBI = Steady version of the Simplified method based on a Beerkan Infiltration run (Eq. 12); A4 = Approach 4 (Eq. 13).

Figure 5. (a) Comparison between the estimated macroscopic capillary length, λ_c , and the intercept, b_s , of the regression line between cumulative infiltration and time under steady-state conditions. The soil capillarity categories are indicated by dashed horizontal lines. Also shown are examples of λ_c estimation for (b) moderate, (c) strong, and (d) negligible capillarity conditions.

Figure 6. Cumulative empirical frequency distribution of relative error in estimated saturated hydraulic conductivity, $Er(K_s)$, when 427 experiments in the Beerkan database were analyzed with the four considered methods (i.e., OPD, WU2, SSBI, A4) and λ_c was constrained (**a**) using Eq. (9), (**b**) assuming $\lambda_c = 83$ mm, (**c**) using a soil-dependent λ_c value. OPD = one-ponding depth (Eq. 10); WU2 = Method 2 (Eq. 11); SSBI = Steady version of the Simplified method based on a Beerkan Infiltration run (Eq. 12); A4 = Approach 4 (Eq. 13).

















