

Multi-model simulation of soil temperature, soil water content and biomass in Euro-Mediterranean grasslands: uncertainties and ensemble performance

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note finali coverpage

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2 biomass in Euro-Mediterranean grasslands: uncertainties and  
3 ensemble performance

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28

29 **Abstract**

30 This study presents results from a major grassland model intercomparison exercise, and  
31 highlights the main challenges faced in the implementation of a multi-model ensemble  
32 prediction system in grasslands. Nine, independently developed simulation models linking  
33 climate, soil, vegetation and management to grassland biogeochemical cycles and production  
34 were compared in a simulation of soil water content (SWC) and soil temperature (ST) in the  
35 topsoil, and of biomass production. The results were assessed against SWC and ST data from  
36 five observational grassland sites representing a range of conditions - Grillenburg in  
37 Germany, Laqueuille in France with both extensive and intensive management, Monte  
38 Bondone in Italy and Oensingen in Switzerland - and against yield measurements from the  
39 same sites and other experimental grassland sites in Europe and Israel. We present a  
40 comparison of model estimates from individual models to the multi-model ensemble  
41 (represented by multi-model median: MMM). With calibration (seven out of nine models), the  
42 performances were acceptable for weekly-aggregated ST ( $R^2 > 0.7$  with individual models and  
43  $> 0.8-0.9$  with MMM), but less satisfactory with SWC ( $R^2 < 0.6$  with individual models and  
44  $< \sim 0.5$  with MMM) and biomass ( $R^2 < \sim 0.3$  with both individual models and MMM). With  
45 individual models, maximum biases of about  $-5\text{ }^\circ\text{C}$  for ST,  $-0.3\text{ m}^3\text{ m}^{-3}$  for SWC and  $360\text{ g}$   
46  $\text{DM m}^{-2}$  for yield, as well as negative modelling efficiencies and some high relative root mean  
47 square errors indicate low model performance, especially for biomass. We also found  
48 substantial discrepancies across different models, indicating considerable uncertainties  
49 regarding the simulation of grassland processes. The multi-model approach allowed for  
50 improved performance, but further progress is strongly needed in the way models represent  
51 processes in managed grassland systems.

52

53 **Keywords:** biomass, grasslands, modelling, multi-model ensemble, soil processes

54

## 55 **1. Introduction**

56 Grasslands are widespread vegetation types worldwide (about 40.5% of the Earth's  
57 landmass; Suttie et al., 2005), covering a large proportion of the European continent (67  
58 million ha in the EU-27 that is 40% of agricultural land, 15% of total area, 85% of which  
59 being occupied by permanent grasslands, Peeters, 2012; Peyraud, 2013). Pastoral lands  
60 contribute to agricultural production and ecosystem services, including the provisioning of  
61 forage and, hence, of milk and meat (Huyghe, 2008). In addition, permanent grasslands are  
62 often hotspots of biodiversity (Marriott et al., 2004), which contributes to the temporal  
63 stability of their services.

64 Considering the role played by grasslands in maintaining food production, grassland  
65 biomass yield is an important agro-technical indicator to evaluate the economic viability of  
66 grassland-based milk and meat production systems as compared to concentrate feeding (e.g.  
67 Schader et al., 2013). In a climate-change context, for instance, adaptation of grasslands to  
68 climate change necessarily includes minimizing fluctuations in biomass produced (Collins,  
69 1995). Considering the viability of grassland-based systems depending on their ability to  
70 produce meat from forage harvested on-farm, it is critical to examine the dynamics of  
71 grassland biomass production, where management plays a role by influencing the temporal  
72 forage availability and the interactions between herd and grassland.

73 Grassland ecosystem models have become important tools for extrapolating local  
74 observations and testing hypotheses on grassland ecosystem functioning (Chang et al., 2013;  
75 Graux et al., 2013; Vital et al., 2013; Ma et al., 2015). Under the auspices of the FACCE  
76 MACSUR knowledge hub (<http://macsur.eu>), a model intercomparison was conducted using  
77 datasets from an observational and experimental network of nine multi-year flux and  
78 production sites spread across Europe (France, Italy, Germany, Switzerland, The Netherlands,  
79 and United Kingdom) and Israel, and engaging a modelling community using a suite of

80 different models to understand grassland functioning. In particular, the collected datasets of  
81 meteorological data, C, energy and water fluxes were used to drive and evaluate the  
82 performance of nine grassland models.

83 The identified models are an inventory of modelling approaches made available through  
84 the MACSUR consortium and applied worldwide. Grassland-specific approaches were used  
85 together with other approaches, mainly conceived to simulate crops and plant functional  
86 types. The primary goal of this study is to synthesize and compare the participating grassland  
87 models to assess current understanding of soil processes (soil temperature and soil water  
88 content, which are fundamental drivers of ecosystem-scale processes) and  
89 aboveground/harvested biomass (which is the output of major significance in agricultural  
90 production) in Europe and Israel. To achieve this goal, model evaluation against actual  
91 measurements was performed before and after model calibration. To the best of authors'  
92 knowledge, this is the first model intercomparison performed specifically on permanent  
93 grasslands. The present study, focused on grassland sites across Europe and a neighbour  
94 country (Israel), extends preliminary analyses (Ma et al., 2014; Sándor et al., 2015), and  
95 parallels other initiatives on the comparison of grassland models worldwide, such as the  
96 Agricultural Model Intercomparison and Improvement Project (AgMIP, Rosenzweig et al.,  
97 2013) and other international projects (Soussana et al., 2015).

98 The present grassland model intercomparison tries to answer five fundamental questions in  
99 a multi-site, multi-model framework: (1) are the main drivers of grassland processes  
100 represented well by state-of-the-art grassland models?, (2) what is the skill of the studied  
101 models considering the different processes?, (3) can calibration improve the models in terms  
102 of quality of simulation of different processes?, (4) can the ensemble of model results be used  
103 to estimate soil properties and grassland biomass in the study sites?, and (5) what  
104 uncertainties are associated with the different models, and how can uncertainty be quantified

105 in a multi-model framework? In addition, areas are identified where structural changes in  
106 models may be needed to improve performances and decrease uncertainty of process  
107 representation.

108

## 109 2. Material and methods

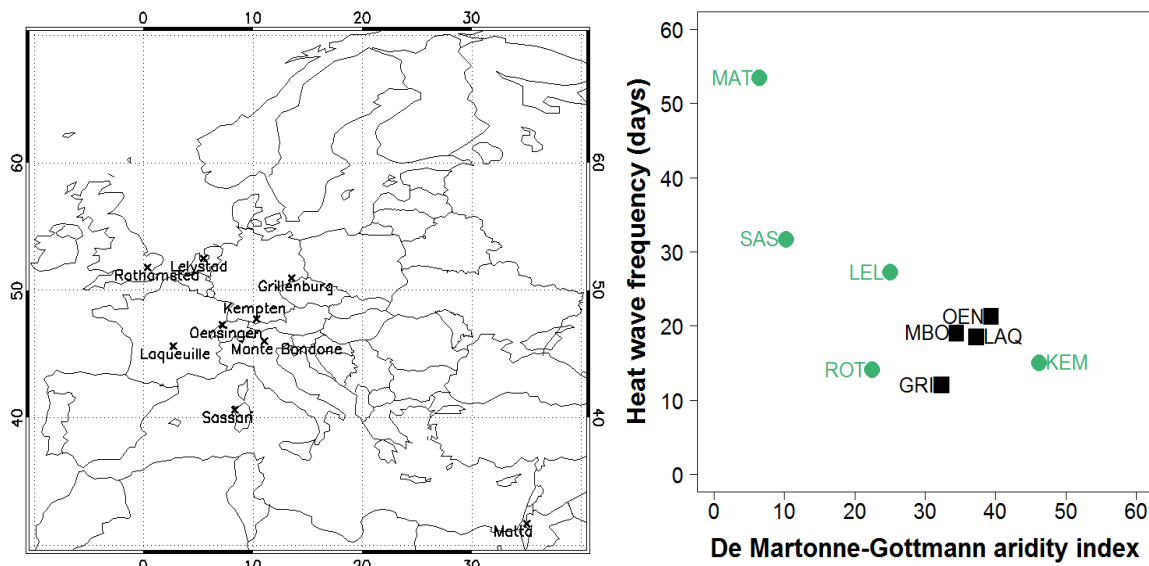
### 110 2.1. Study sites

111 The nine long-term grassland sites used for the modelling exercise (Table 1) cover a broad  
112 range of geographic and climatic conditions (Fig. 1; see also Fig. A and Table A1 in the  
113 Supplementary material) as well as a variety of management practices (Table A2 in the  
114 Supplementary material).

115

116 Fig. 1. Geographic location (left) and classification (right) of grassland sites (black squares:  
117 grassland sites equipped with eddy covariance system; green circles: other grassland sites)  
118 with respect to De Martonne-Gottmann aridity index (De Martonne, 1942) and heat wave  
119 days frequency.

120



121 Table 1. List of permanent grassland sites.

Site	Latitude	Longitude	Elevation (m a.s.l.)	Years of available data	Notes	Source
Laqueuille (LAQ1, LAQ2), France	45° 38' N	02° 44' E	1040	2004-2010	Flux-tower grazed site, either intensively (LAQ1) or extensively (LAQ2) managed.	Klumpp et al. (2011)
Oensingen (OEN), Switzerland	47° 17' N	07° 44' E	450	2002-2008	Flux-tower mowed site, established on a ley-arable rotation.	Ammann et al. (2007)
Monte Bondone (MBO), Italy	46° 00' N	11° 02' E	1500	2003-2010	Flux tower Alpine hay meadow with occasional grazing in late autumn.	Wohlfahrt et al. (2008)
Grillenburg (GRI), Germany	50° 57' N	13° 30' E	380	2004-2008	Flux-tower mowed, extensively managed site.	Prescher et al. (2010)
Kempton (KEM1, KEM2), Germany	47° 43' N	10° 20' E	730	2004-2009	Experimental sward with different levels of N and cutting management (KEM1: four cuts per year; KEM2: two cuts per year).	Schröpel and Diepolder (2003)
Lelystad (LEL), The Netherlands	52° 30' N	05° 28' E	-4	1994-1998	Experimental sward with N management options.	Schils and Snijders (2004)
Matta (MAT), Israel	31° 42' N	35° 03' E	620	2007-2011	Dwarf shrubland in association with herbaceous annual species.	Golodets et al. (2013)
Rothamsted (ROT1; ROT2), United Kingdom	51° 48' N	00° 21' E	128	1981-2011	Experimental sward with alternative N management options (ROT1: N-NH <sub>4</sub> ; ROT2: N-NO <sub>3</sub> ).	Silvertown et al. (2006)
Sassari (SAS), Italy	40° 39' N	08° 21' E	68	1983-1988	Mediterranean grassland dominated by annual self-seeding species.	Cavallero et al. (1992)

122

123 Four of the study sites (Laqueuille, Monte Bondone, Grillenburg, Oensingen) are  
124 equipped with an eddy covariance system to determine the net ecosystem exchange (NEE) of  
125 CO<sub>2</sub> and automated weather stations for hourly weather reports. They are essentially old semi-  
126 natural grasslands including vegetation types representative of the zone (with the exception of  
127 OEN, which was established in 2001). The flux-tower sites are the most data-rich grasslands  
128 in Europe, covering a variety of components of grassland ecosystem, including gross primary  
129 production (GPP), that is an estimate of the plant production of organic compounds from  
130 atmospheric CO<sub>2</sub>, and ecosystem respiration (RECO), the latter playing an important role to

131 estimate global C balances of terrestrial ecosystems (by definition  $NEE = RECO - GPP$ , with  
132 positive values indicating the system is a source of C, and negative values indicating that the  
133 system takes up C from the atmosphere). The flux-tower sites also record actual  
134 evapotranspiration, soil temperature (top 0.1 m) and soil water content (top 0.1 m). The eddy  
135 covariance system consists of a fast response 3D sonic anemometer coupled with fast  $CO_2$ -  
136  $H_2O$  analysers measuring fluxes of  $CO_2$ , latent and sensible heat, and momentum fluxes at a  
137 30-min time step. The basic data used in this study are at daily resolution to fit the temporal  
138 resolution of models. They are the result of a filtering process, quality check and gap filling  
139 according to European flux database guidelines (Aubinet et al., 2012). Data are also available  
140 on the standing aboveground biomass at given dates. Biomass was measured destructively at  
141 given dates in all the study sites (at ground level at Laqueuille, at site-specific canopy heights  
142 as part of regular mowing in the other sites).

143 Other grassland sites (Kempton, Lelystad, Matta, Rothamsted, Sassari) are from  
144 experimental research, with focus on forage production under a range of conditions, and for  
145 which weather inputs are available on a daily time step. These sites provide forage yields, i.e.  
146 the amount of dry matter biomass that is removed from the field at each cutting event that  
147 corresponds to removal of C and nitrogen (N) from these grassland systems. Each of these  
148 sites offer the possibility to model different grassland systems while expanding geographical  
149 coverage and the variety of management options tested.

150

## 151 *2.2. Models description*

152 The first phase of the study was to identify a wide selection of grassland models to be able  
153 to represent processes controlling energy, water and C cycle dynamics. The selection phase  
154 allowed identifying nine models in which processes are represented with different levels of  
155 detail. Whereas some models are empirically based with relatively simple relationships

156 between driver variables and fluxes, others are more complex, simulating the coupled C,  
157 nutrient, and water cycles (process-based models). Models also differ in their representation  
158 of soil properties, vegetation type, farming practices, and environmental forcing, as well as  
159 the initialization of C pools.

160 Here we divide the models into three categories based on their feature sets. Three models -  
161 AnnuGrow, PaSim and SPACSYS - were specifically developed to simulate grasslands. Three  
162 models - EPIC, STICS and ARMOSA - were originally developed to simulate annual crops  
163 and include options for grassland systems. Other three models - Biome-BGC MuSo, CARAIB  
164 and LPJmL - that simulate different vegetation (or biome) types, including grasslands, were  
165 also included in the exercise. Supplementary material contains a brief description of the  
166 models and a synoptic table (Table B1) of the main processes implemented. The types of  
167 outputs generated by the models are in Table B2 (Supplementary material). The model results  
168 are presented anonymously in the paper, as the identification of models providing a specific  
169 performance is out of scope.

170

### 171 *2.3. Simulation study design*

172 Model simulations were carried out independently by the modelling groups (which  
173 included developers, expert users or end-users) using their own infrastructure and technical  
174 background, as harmonizing the calibration techniques was out of scope of the  
175 intercomparison. Models were evaluated with data from the study sites before and after  
176 calibration.

177 For the uncalibrated (blind) simulations, the models were run at each site using the  
178 available data of weather, soil and management, with no parameter adjustment. After the  
179 blind simulations were completed, additional plant and soil information from a sub-set of  
180 flux-tower site data was supplied to each modelling group, i.e. the first half of the whole

181 series of available data or the first half plus one in the case of an uneven number of years  
182 (Table 1). The information provided were daily time series of GPP, RECO, soil water content,  
183 soil temperature, and actual evapotranspiration (some groups only used a subset of  
184 observations for calibration). For the same output variables, calibrated simulation results were  
185 evaluated against observations from the validation sub-set of years. Biomass data were not  
186 used for calibration and held back for validation purpose.

187 It was requested that each modelling group adjusts model parameters (especially  
188 vegetation parameters) to improve the simulations based on the observed data, using whatever  
189 techniques they normally use, and documenting the changes. Summary of the model  
190 parameters that were considered for calibration is presented in Table C of the Supplementary  
191 material.

192 Seven groups completed the full assessment of that step. Simulation results from the blind  
193 tests over the calibration time period were compared with the measured data over the same  
194 period. For both tests, model outputs including biomass (measured at given dates in all the  
195 sites), soil temperature and soil water content at 0.1 m depth (both measured continuously on  
196 a daily basis at flux sites) were compared against observed values, since other output variables  
197 were not common to all the models. The agreement between simulation and observations was  
198 evaluated by the inspection of time series graphs and, numerically, through a set of  
199 performance metrics (Table D in Supplementary material).

200 Performance metrics were calculated for four time series: uncalibrated ( $U1$ ,  $U2$ ), calibrated  
201 ( $C$ ) and validated ( $V$ ) years.  $U1$  and  $C$  refer to the first half of the whole series of available  
202 data (or the first half plus one in the case of an uneven number of years) which was used for  
203 calibration, while  $U2$  and  $V$  refer to the years which were excluded from calibration. Possible  
204 improvement of model performance due to calibration was evaluated using the metrics from  
205 the  $U2$  and  $V$  years. This logic was used because validation implies that model performance is

206 assessed with calibration-independent data. Thus, possible improvement of model  
207 performance can be most clearly judged by comparing error measures from *U2* and *V*. Multi-  
208 site mean (i.e. average data from all sites) error statistics were analysed to quantify the overall  
209 effect of model calibration on the simulated processes.

210

#### 211 *2.4. Uncertainty assessment*

212 We assessed the models in terms of quality of simulations, by first focussing on the  
213 quantification of model errors with statistical indicators, and then using these errors to assess  
214 the uncertainty of the individual models in comparison with the multi-model ensemble. The  
215 modelling groups provided deterministic model simulation results according to the protocol  
216 established, which means that one run was provided for one site. It also means that the spread  
217 of model results due to parameter uncertainty was not specifically addressed as it would have  
218 dramatically increased the model output database used within the study. As uncertainty cannot  
219 be associated to any of individual simulations, we focussed on model residuals to quantify  
220 uncertainty. Residuals (simulation-measurement differences) were used in a standardized  
221 form (divided by standard deviation) to estimate variability for the individual models, and for  
222 the multi-model ensemble. Here we tried to assess whether the multi-model error has smaller  
223 variability than the individual models or not. The spread (maximum minus minimum) of  
224 simulation results (uncertainty with the ensemble spread) was also standardized (divided by  
225 standard deviation) to obtain a metric comparable with the standardized residuals of each  
226 model. Given the internal logic of biophysical and biogeochemical grassland models, errors in  
227 the estimation of internal processes propagate to the estimation of biomass and related output.  
228 Thus, we also quantified the relationship between standardized model residuals of ST, SWC  
229 and biomass, based on the calibrated simulations. ST and SWC residuals were calculated by  
230 averaging the residuals of two weeks preceding biomass sampling events. Moreover, we

231 quantified the relationship between the residuals and mean maximum temperature and  
232 precipitation sum values of the preceding two weeks relative to the biomass sampling.

233

### 234 **3. Results**

#### 235 *3.1. Analysis of individual model performance*

236 Performance of the individual models is discussed according to the simulated output of  
237 interest. In order to assess the utility of using multi-model ensemble for the simulation of  
238 grassland functioning, performance of the multi-model simulation range and median is also  
239 assessed against measurement data. We used median instead of mean values in order to  
240 reduce the impact of outliers in the multi-model ensemble construction. For easier  
241 interpretation, weekly-aggregated data were used to quantify the overall measurement-model  
242 agreement (Supplementary material, section 3, provides additional information in daily and  
243 monthly resolutions). The identities of models were kept anonymous by using model codes  
244 from 1 to 9 (the order of models being not identical with the one used in Table B2,  
245 Supplementary material).

246

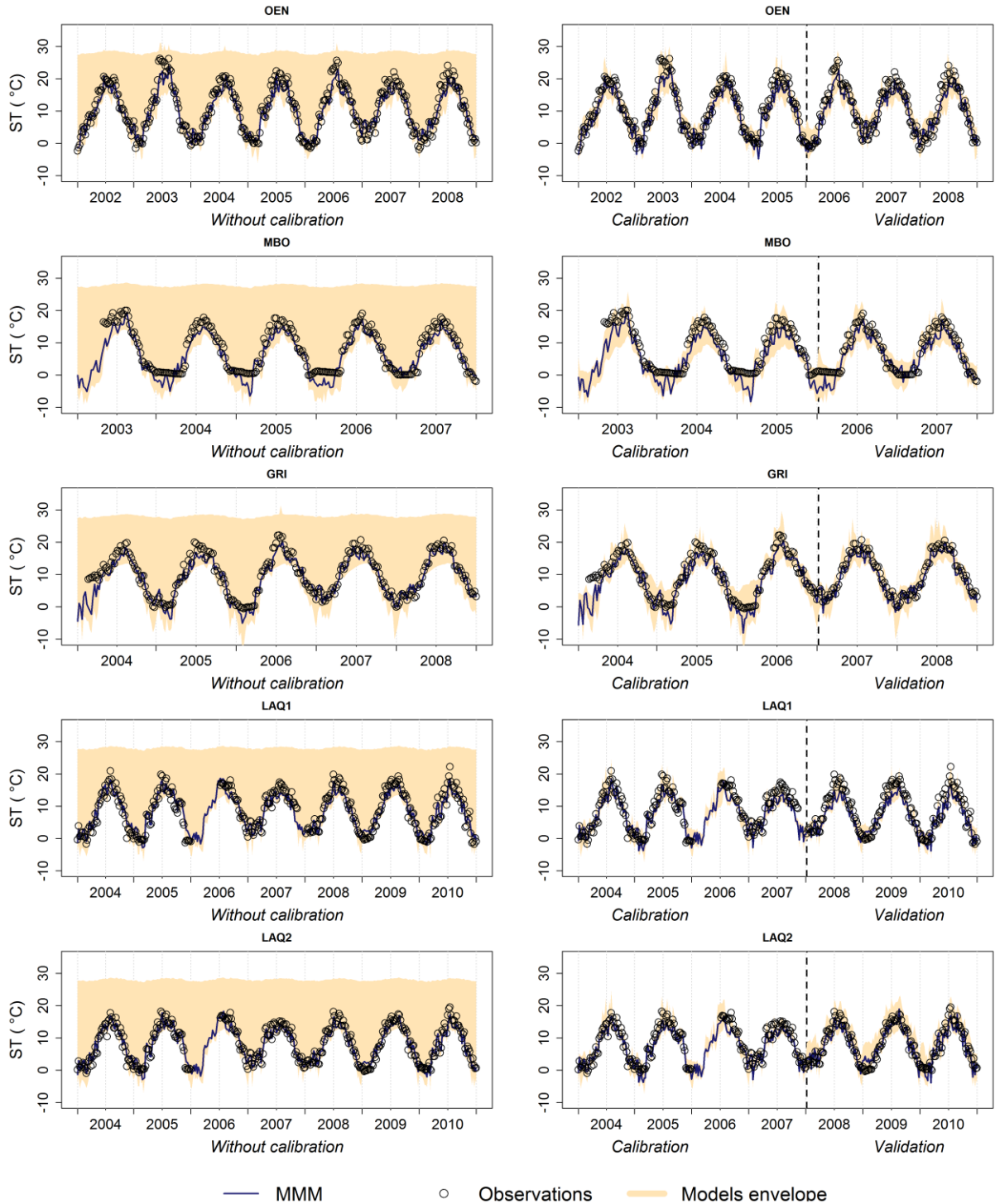
##### 247 *3.1.1. Evaluation of soil temperature (ST) estimates (flux sites)*

248 Fig. 2 shows the range of model results (represented by the shaded area) and the multi-  
249 model median (MMM hereinafter) together with the measured values at weekly resolution  
250 (see also Figs. B and C of Supplementary material with daily and monthly time resolutions,  
251 respectively).

252

253

254 Fig. 2. Comparison of weekly averaged simulated and measured soil temperature (ST) at the  
 255 flux sites (ID as in Table 1). The shaded area represents the range of estimations provided by  
 256 the individual models while solid line shows the multi-model median (MMM). Open circles  
 257 show the weekly averaged measured values. The dashed vertical line divides the measurement  
 258 period into calibration and validation time series.



259  
 260 The figure suggests that the range of model results decreased drastically after calibration.  
 261 However, it is worth noting that the upper bound in Fig. 2 (left) (almost constant ST around

262 28 °C) is caused by model 8 only, which did not provide results for the calibrated simulations.

263 The rest of the models provided ST values in a more realistic fashion (not shown here).

264 Scatterplots with weekly resolution (Figs. D-H in Supplementary material) show the  
265 improvements obtained with calibration, with a similar pattern across flux sites. Appendix 1  
266 shows the statistical assessment of the model results for GRI and LAQ1, Grillenburg and  
267 Laqueuille being the driest and the wettest of the flux sites investigated, respectively (see  
268 other sites in Tables E-G of Supplementary material with weekly resolution).

269 Overall, calibration improved the quality of the ST simulation in terms of explained  
270 variance though the improvement is only marginal in some cases. In general, model  
271 performance was similar for calibration and validation periods for the seven models that  
272 provided both blind and calibrated results.

273

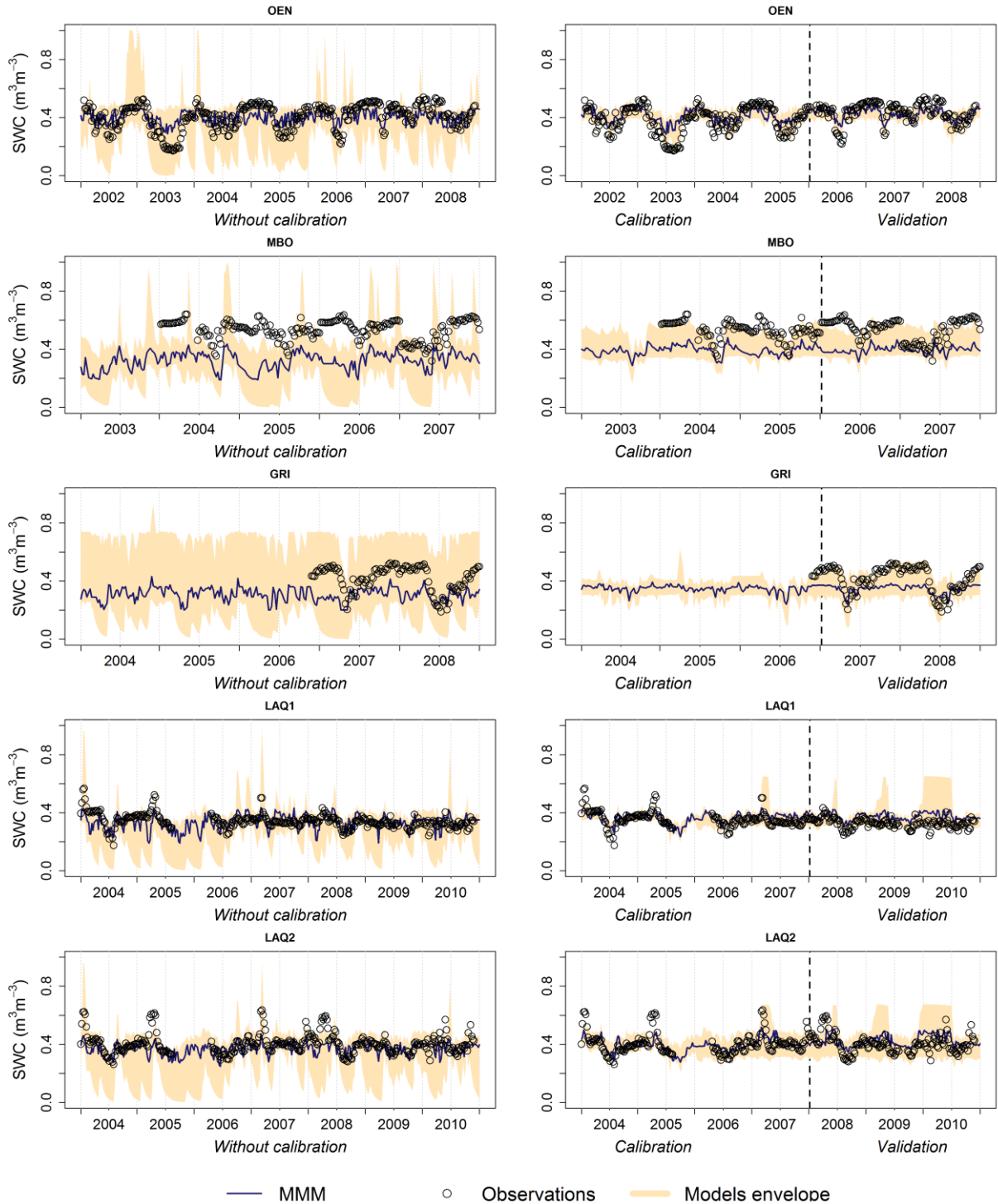
### 274 *3.1.2. Evaluation of soil water content (SWC) estimates (flux sites)*

275 Fig. 3 shows the comparison of measured and simulated SWC at weekly aggregation, for  
276 all five flux measurement sites (see Figs. I and J with daily and monthly time resolutions,  
277 respectively, in Supplementary material). The grey area provides information on the range of  
278 model results (nine models for the blind tests, seven of them for the calibrated tests), and the  
279 black line represents the MMM.

280

281

282 Fig. 3. Comparison of weekly averaged simulated and measured soil water content (SWC) at  
 283 the flux sites (ID as in Table 1). The shaded area represents the range of estimations provided  
 284 by the individual models while solid line shows the multi-model median (MMM). Open  
 285 circles show the weekly averaged measured values. The dashed vertical line divides the  
 286 measurement period into calibration and validation time series.



287

288

289 Blind simulation results indicate that some of the models gave unrealistically high and/or  
290 low SWC values. Given the soil texture at the sites, saturated SWC was not expected to  
291 stretch beyond  $\sim 0.52 \text{ m}^3 \text{ m}^{-3}$  at any of the sites (as estimated by the SOILarium software from  
292 pedotransfer functions; Wösten et al., 1999; Fodor and Rajkai, 2011). The range of  
293 uncalibrated results had unrealistically high values of SWC. This was true at each site, but  
294 especially at GRI, characterized by the lowest clay and highest silt contents (Table 1). The  
295 lowest expected SWC (wilting point) is around  $0.3 \text{ m}^3 \text{ m}^{-3}$  at OEN and about  $0.10\text{-}0.16 \text{ m}^3 \text{ m}^{-3}$   
296 at the other sites. Though the actual SWC can drop well below the wilting point in the upper  
297 soil layer, the lower boundary of SWC around zero at each site is not realistic considering that  
298 the flux sites are relatively wet. Comparison of uncalibrated and calibrated SWC shows that  
299 model parameter adjustment clearly improved the performance of the models (Fig. 3 right).  
300 The models mostly provided data within the expected SWC range, with no values beyond  
301 levels of SWC. The most prominent improvement was at GRI. At both LAQ1 and LAQ2,  
302 calibration introduced positive biases in some years (where uncalibrated biases were low).

303 Figs. K-O (Supplementary material) show the performance of the individual grassland  
304 models for both blind (nine models) and calibrated simulations (seven models). The results  
305 clearly show that systematic errors are present in all models. An interesting common error of  
306 the models is that the range of simulated SWC values is smaller than in reality (model 8 is  
307 exception). The scatterplots in Supplementary material also reveal that the above-mentioned  
308 wide range of model results (e.g. Figs. K1 and K2 for Oensingen) is caused by model 8 alone  
309 (in Fig. K2, the x- and y-axis ranges are smaller than in Fig. K1 because of the smaller overall  
310 range of SWC values.). The scatterplot indicate some improvement (remarkable with models  
311 5 and 6) in the simulation of SWC in terms of  $R^2$ . However, model calibration was globally  
312 unable to address the systematic errors present in the blind tests.

313 Appendix 2 shows the performance indicators of the model results, for GRI and LAQ1,  
314 which are the driest and wettest site among the flux sites, respectively (for other sites, see  
315 Tables H-J of Supplementary material with weekly resolution). In general, high variability of  
316 changes was observed across sites for the models. Overall, none of the models under study  
317 revealed considerable improvement. SWC simulation was the most successful at GRI and  
318 OEN. At these sites, ME values up to 0.8 were obtained in some cases, with mostly negative  
319 values obtained in the other sites. It is evident that SWC representation is not satisfactory in  
320 spite of parameter adjustments. This means that all of the studied models have difficulties at  
321 the eddy covariance sites, which are all characterized by ample precipitation and lack of  
322 severe drought stress.

323

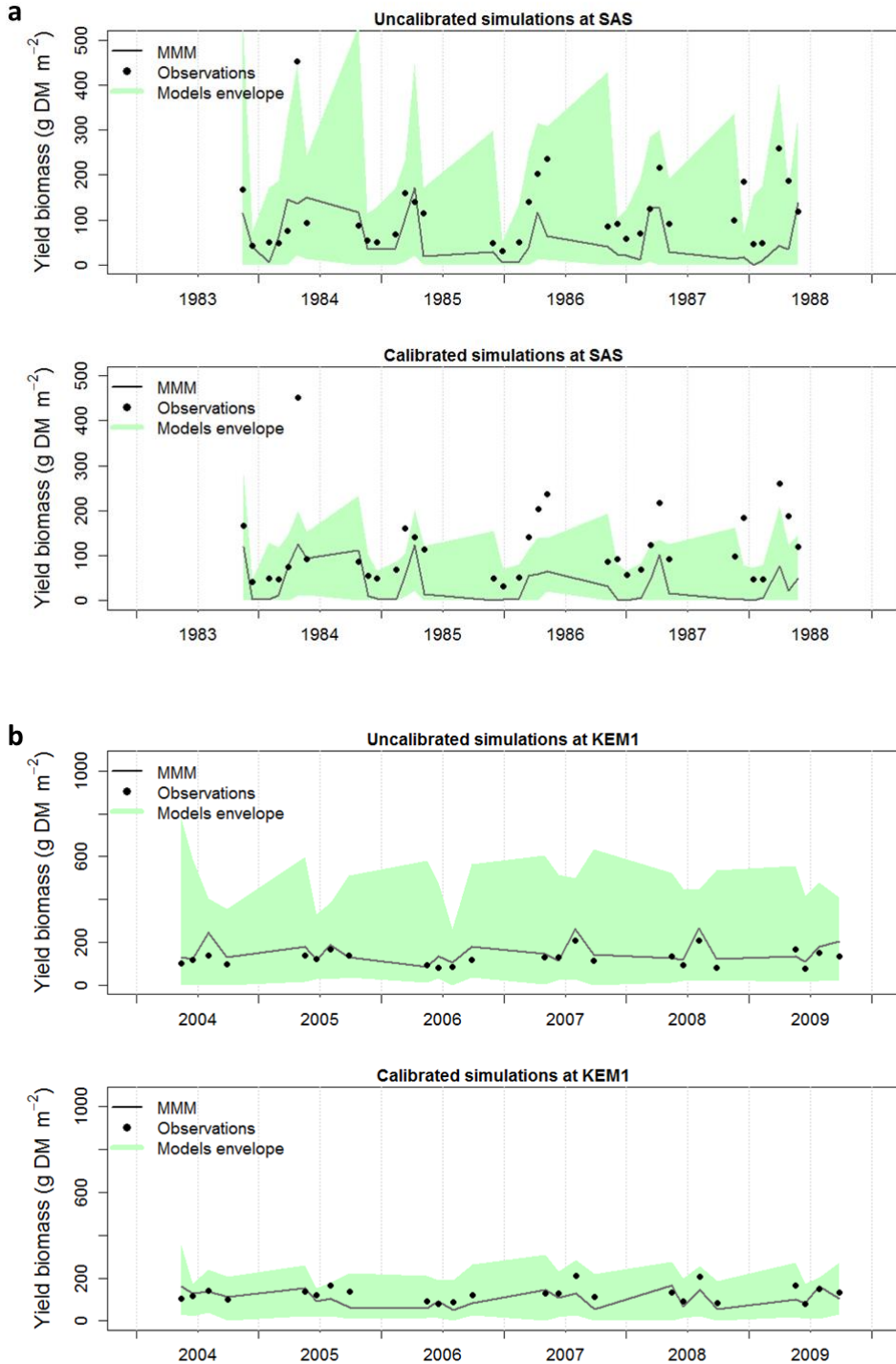
### 324 *3.1.3. Evaluation of plant biomass estimates*

325 Fig. 4a, b shows the comparison of measured and simulated biomass values for a dry and a  
326 wet site (SAS and KEM1; KEM2 is not shown) over the full measurement period (for the  
327 other sites, see Figs. P1-Q5 in the Supplementary material).

328 The shaded area represents the full range of model results (all nine models provided data  
329 for the blind tests, but only seven of them contributed to the calibrated tests), and the black  
330 line shows the multi-model median. The figures show that simulated biomass from the blind  
331 simulations varied in a wide range at all experimental sites. In general, measured biomass was  
332 within the range that was defined by the ensemble of the models. After calibration, the range  
333 of model results decreased for all sites except for MAT. As models 8 and 9 did not provide  
334 data for the calibrated simulations, it is not clear whether this decrease is the result of the  
335 calibration or it also incorporates the smaller number of models considered. For nine sites  
336 (SAS, KEM2, LEL, ROT1, ROT2, GRI, LAQ1, LAQ2, OEN), some of the measured data  
337 were outside the range that was defined by the seven models.

338

339 Fig. 4. Comparison of simulated and measured yield biomass (harvested aboveground  
 340 biomass) at (a) SAS and (b) KEM1 sites (ID as in Table 1): without calibration (top) and with  
 341 calibration (bottom). The shaded area represents the range of estimations provided by the  
 342 individual models while solid line shows the multi-model median (MMM). Black circles  
 343 show the measured yield biomass values.



344  
 345

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347

348 Fig. R (Supplementary material) shows the performance of the individual grassland models  
349 for the blind and the calibrated simulations, separately for the dry and wet site (SAS and  
350 KEM1, respectively; see also Figs. S1-S20 in the Supplementary material for the other sites),  
351 revealing that the performance of the grassland models is rather heterogeneous, and varies  
352 considerably between sites and models.

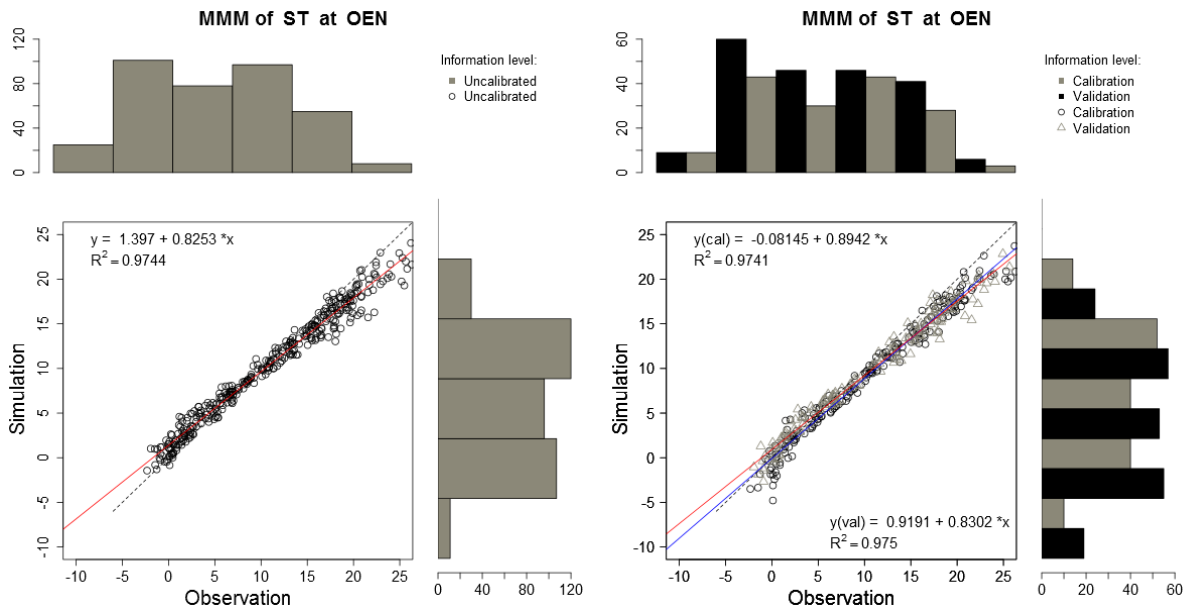
353 Overall, considering all sites and models (see also Supplementary material, Figs. Q1-S20),  
354 underestimation of biomass is more common than overestimation. Data points are distributed  
355 around the 1:1 line for ~1/3 of all model-site combinations that reported results. There is no  
356 clear systematic behaviour for the models in terms of over- or underestimation with a few  
357 exceptions. After calibration the overall picture changed to some extent: underestimation  
358 decreased, and tendency to approach the 1:1 line improved slightly. Percent of model-site  
359 combinations that provided data near the 1:1 line increased to some extent. Explained  
360 variance of the models (not considering MBO, due to the limited number of data points)  
361 varied in a wide range, spanning the interval of 0.00-0.78 for the blind runs, and 0.00-0.98 for  
362 the calibrated simulations.

363 For biomass, Appendix 3 shows the statistical evaluation of simulation performances at  
364 SAS and KEM1, for the uncalibrated and calibrated models separately (other sites in Tables  
365 K-T in Supplementary material). In this case, there is no distinction between  $U1$  and  $U2$ , and  
366 also  $C$  and  $V$  years, as yield data were not used for model calibration. Data from OEN were  
367 excluded from this analysis due to the low number of samples. High variability of changes in  
368 statistical indicators can be detected based on Table 4. Multi-site mean ME was negative for  
369 all models. There was no systematic fashion in the change of ME between the sites. In spite of  
370 the improvement of ME, the calibrated, multi-site mean ME was still negative for all models,  
371 which reflects poor model performance. The largest calibrated ME is characteristic to model 7  
372 (multi-site mean ME is -2.57).

373 3.2. Analysis of the ensemble approach

374 Fig. 5 shows the MMM (or in other words, ensemble), uncalibrated and calibrated-  
 375 validated ST simulations compared with observed values on weekly resolution at OEN (see,  
 376 for other sites, Figs. T1-T4 in Supplementary material).

377  
 378 Fig. 5. Multi-model median (MMM) of uncalibrated (left) and calibrated-validated (right) soil  
 379 temperature (ST) simulations compared with observed values with weekly resolution at OEN  
 380 site (ID as in Table 1): x-y scatterplots with associated x and y histograms with estimated  
 381 densities.



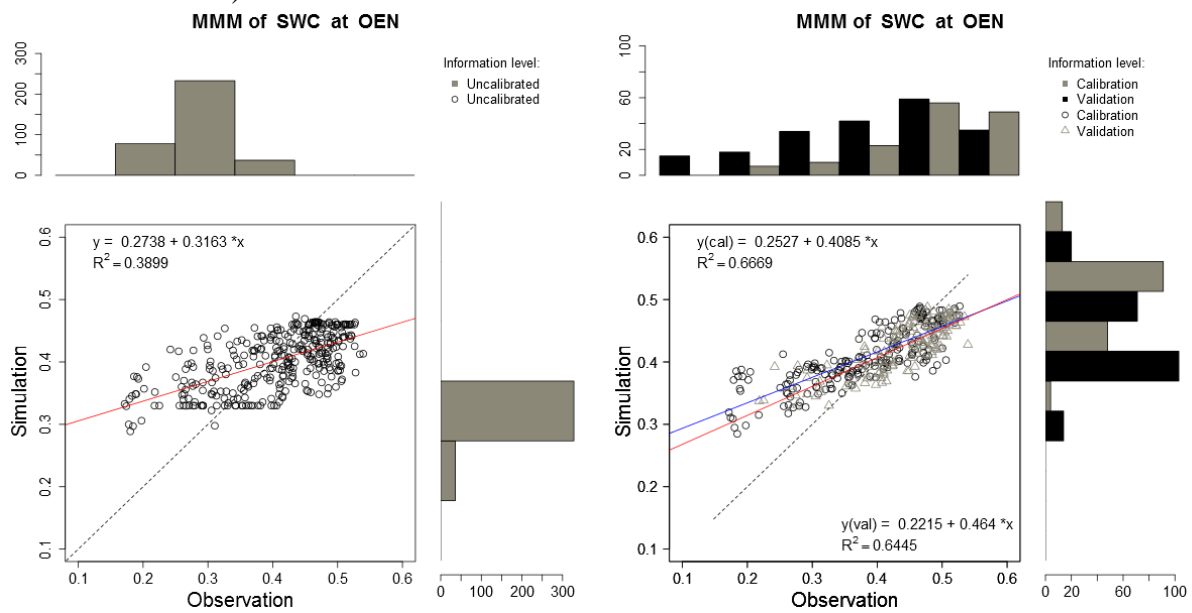
382

383

384 The figures indicate that MMM ST from the blind simulations provided reliable estimates  
 385 in terms of explained variance and slope of the linear regression. Explained variance varied  
 386 between 91 and 97%, while the slope varied between 0.83 and 0.92 (which means small  
 387 underestimation by the ensemble). Calibration did not change the overall quality of the  
 388 MMM. Explained variance changed slightly with very small overall decrease, while the slope  
 389 became closer to the 1:1 line in some cases. The performance indicators were calculated using  
 390 the *U2* and *V* years only. Considering ME, the MMM ST taken from the blind runs was a  
 391 better predictor than 62.5% of the models. After calibration, 71% of the models gave worse  
 392 ME than the MMM. Considering the explained variance, blind MMM ST was better than any

393 of the models, while after calibration 86% of the models provided worse performance than the  
 394 ensemble median. Fig. 6 shows the comparison of the measured and the simulated MMM  
 395 SWC results (separately for the uncalibrated and the calibrated-validated runs) at OEN, which  
 396 is the best site in terms of MMM SWC performance (see, for other sites, Figs. U1-U4 in  
 397 Supplementary material).

398  
 399 Fig. 6. Multi-model median (MMM) of uncalibrated (left) and calibrated-validated (right) soil  
 400 water content (SWC) simulations compared with observed values with weekly resolution at  
 401 OEN site (ID as in Table 1): x-y scatterplots with associated x and y histograms with  
 402 estimated densities).



403  
 404  
 405 The results indicate that MMM SWC inherits the problems associated with the individual  
 406 models. MMM SWC constructed from the blind simulation results shows poor performance at  
 407 all sites. Low explained variance (maximum  $R^2 \sim 0.4$  at OEN) and departure of the data from  
 408 the 1:1 line are indicators of the low reliability of simulations. The range of simulated  
 409 ensemble SWC values is smaller than in reality, similarly to the results obtained with the  
 410 individual models. After calibration, the quality of the MMM SWC simulations was mainly  
 411 improved, though the performance of the validated and calibrated years differed markedly in  
 412 some cases. Explained variance increased for all five sites, and ranged between 11% (LAQ2,

413 validated years) and 73% (OEN, calibrated years). The simulated MMM SWC remained  
414 confined within a relatively narrow range for all sites, which means that the intra-annual  
415 variability of SWC was not captured by the MMM. Similarly to ST, multi-site mean error  
416 statistics were calculated and compared with the multi-site mean statistical indicators of the  
417 MMM SWC (for the *U2* and *V* years). ME of the MMM SWC was better than 78% of the  
418 models and 57% of the models for the blind and calibrated simulations, respectively. Multi-  
419 site mean ME remained negative for all models in both time periods (*U2* and *V*), which means  
420 that the mean of the observations is more useful for SWC estimation than any of the models.

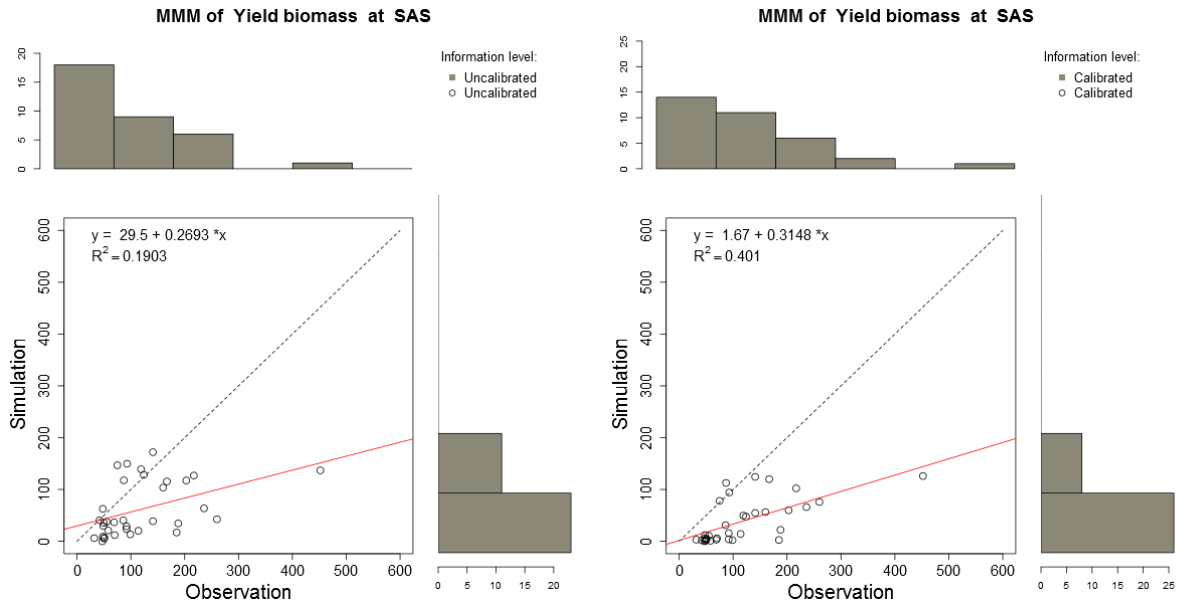
421 Fig. V (Supplementary material) shows that after calibration better estimations in yield  
422 were reached at the grassland sites other than the flux sites. In general, the MMM  
423 underestimated the expected yield at the production sites but overestimated it at the flux sites.  
424 Additionally, the observed yield was poorly represented at those sites characterized by  
425 extensive treatments (LAQ2, KEM2, ROT2).

426 Fig. 7a, b shows the observed and the modelled ensemble (MMM) biomass data for SAS  
427 and KEM1 (Figs. W1-X5 in the Supplementary material present the results for the other  
428 situations, considering that MBO is not discussed due to the low number of data).

429

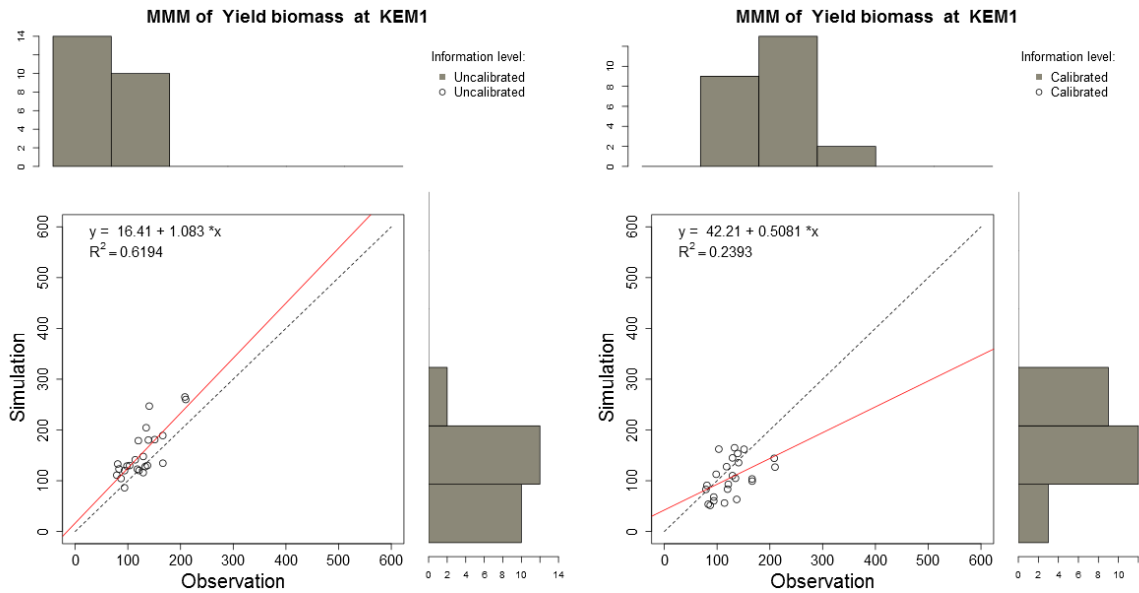
430 Fig. 7. Multi-model median (MMM) of uncalibrated (left) and calibrated (right) yield biomass  
 431 simulations compared with observed values at the arid SAS site (a) and the humid KEM1 site  
 432 (b) (ID as in Table 1): x-y scatterplots with associated x and y histograms with estimated  
 433 densities.

a



434

b



435

436

437

438

439 The figures indicate that the performance of the MMM biomass estimation changed from  
440 site to site. Interestingly, the pattern on the scatterplots is similar for the blind and calibrated  
441 ensembles, which means that parameter adjustment did not cause radical change on the  
442 overall performance of the multi-model ensemble. With a few exceptions, systematic over- or  
443 underestimation is typical. Explained variance varies considerably among sites. With respect  
444 to ME, MMM outperformed the individual models in 100% of the cases. In terms of  $R^2$ , the  
445 MMM gave better explained variance than seven out of the nine models (78%) for the blind  
446 runs, while MMM outperformed five models (out of seven) for the calibrated simulations  
447 (71%).

448

### 449 *3.3. Relationship between model errors and uncertainty assessment*

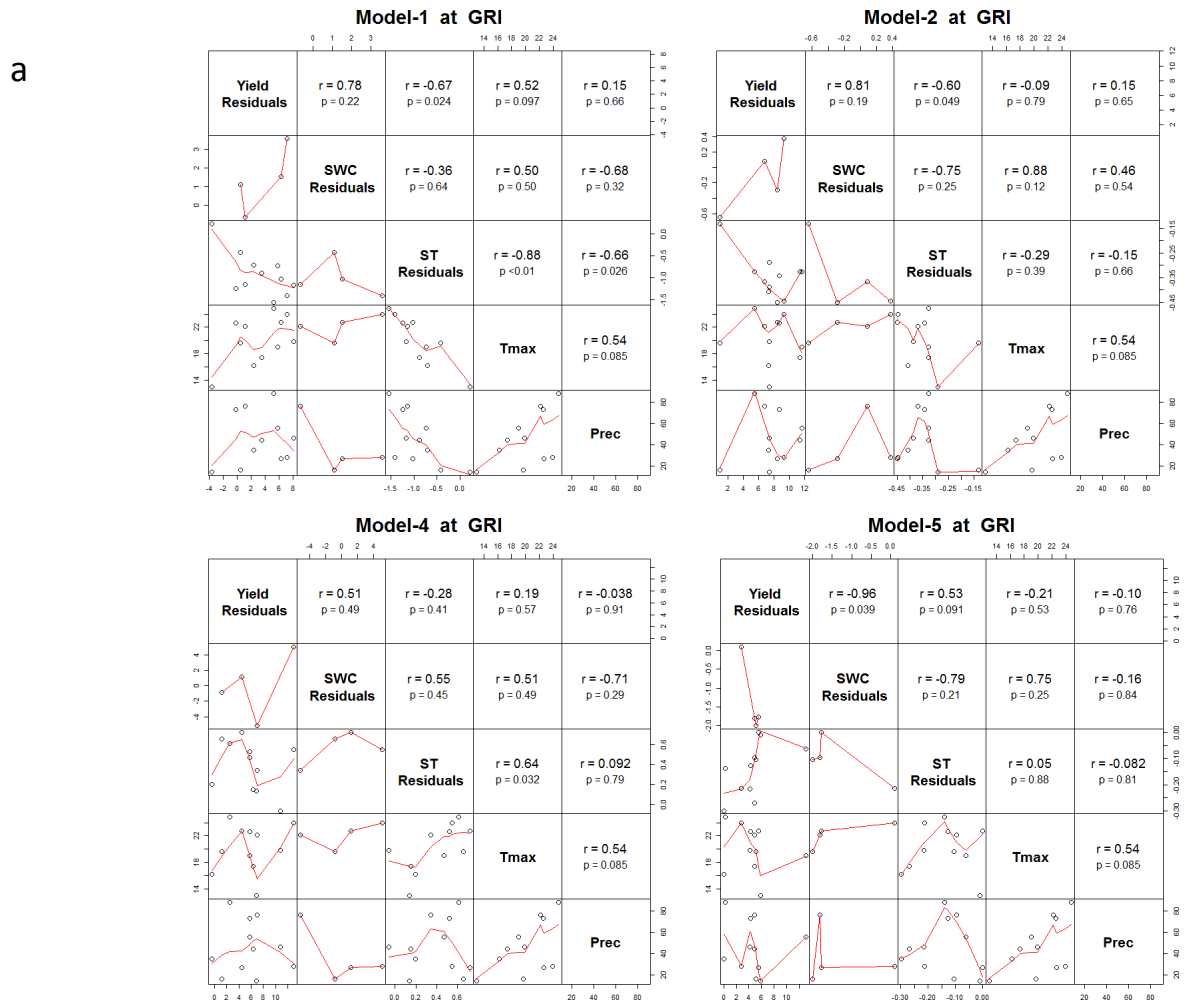
#### 450 *3.3.1. Relationship between residuals*

451 Due to data availability, the analysis of the relationship between standardized residuals was  
452 restricted to four eddy covariance sites (at MBO the number of biomass data was too low).  
453 Models 1, 2, 4, 5, 6 and 7 provided all data needed to analyse the residuals in this fashion  
454 (other models reported data to only a subset of the flux sites). Fig. 8 shows the relationship  
455 between the selected variables for OEN and GRI for models 1, 2, 4 and 5. Supplementary  
456 material contains results for other sites and models (Figs. Y1-Y5).

457

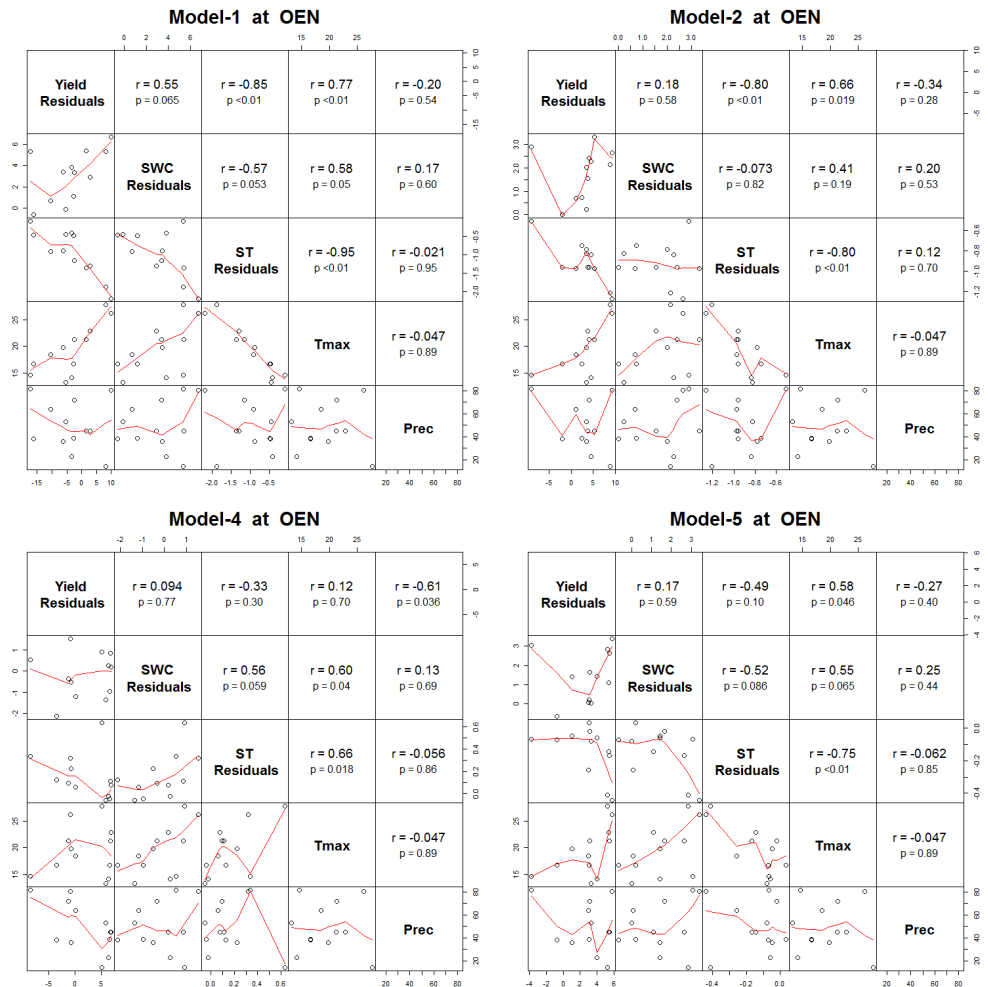
458

459 Fig. 8. Correlation between the standardized residuals of simulated yield biomass (cutting  
 460 events) of models 1, 2, 4 and 5, soil water content (SWC), soil temperature (ST), maximum  
 461 temperature (mean of the two weeks before cutting) and precipitation (total of the two weeks  
 462 before cutting) at GRI (a) and OEN (b) sites (ID as in Table 1).



463

b



464

465

466 The figures visualize the relationship between the selected variables as squared matrix-like  
 467 configurations. The lower triangular part of the squared matrices shows the scatterplots  
 468 between the specific variables defined in the main diagonal of the matrix, with the overlying  
 469 spline (without inferential character). For readability, the correlation between the variables  
 470 and the significance of the relationship (p value) are shown in the upper triangular part of the  
 471 matrix. The figures show that at some sites (mostly at GRI and OEN) a relatively strong  
 472 relationship exists between some of the residuals, and also between the environmental factors  
 473 and the residuals (relationship between maximum temperature and precipitation is not  
 474 informative in the present context). The existing relationship is not uniform and, in some  
 475 cases, the correlation is negative between some of the residuals (e.g. relationship between

476 yield and SWC residuals at GRI for model 5). Considering that the number of available SWC  
477 residuals at GRI is low, the statistical comparison is not well justified here for SWC.

478 In the followings, we focus mainly on GRI and OEN sites. The individual models show  
479 considerably differences in terms of relationship between the yield, the SWC and the ST  
480 standardized residuals. High positive correlation was established between the yield and SWC  
481 residuals for models 1, 2 and 4, whilst models 5 and 6 had a strong negative correlation at  
482 Grillenburg, which is the northern flux site (Fig. 8 a and Fig. Y1 in Supplementary material).  
483 Similarly, positive correlation characterizes the relationship between yield and SWC residuals  
484 at OEN, but the relationship is weaker than at the GRI site (Fig. 8b and Fig. Y1 in  
485 Supplementary material). We found a general negative correlation between the yield and ST  
486 residuals, with the exception of models 5, 6 and 7 (Fig. Y1 in Supplementary material), as  
487 well as between the ST and SWC residuals (except for model 4) at all sites (the correlation  
488 was moderate at the grazed sites; see Figs. Y1 and Y2 in Supplementary material).  
489 Meteorological factors such as the mean maximum temperature and precipitation (2-weeks  
490 means and totals, respectively) also had a notable effect on the residuals. In some cases there  
491 was no clear pattern among the sites. The relationship between the selected variables can be  
492 alternatively characterized as well. We can select an arbitrary (but high enough) absolute  
493 minimum threshold and identify the number of cases when the covariance equals or exceeds  
494 this expected minimum in absolute terms. Selecting the 0.66 correlation threshold (which  
495 represents ~44% explained variance), and considering only OEN and GRI, the most common  
496 relationship is the ST residual - maximum temperature, which is typical for models 1, 2, 4, 5  
497 and 6. The second most common feature is the SWC residual - yield residual relationship,  
498 which is present in the case of models 1, 2, 5 and 6. Strong precipitation - SWC residual,  
499 maximum temperature - SWC residual and ST residual - SWC residual relationships are  
500 present for three models. Maximum temperature - yield residual and ST residual - yield

501 residual relationships were strong for two models. The correlation between the other possible  
502 variable combinations did not reach the 0.66 threshold for GRI and OEN. Though the multi-  
503 model medians of ST, SWC and yield are statistically-derived datasets, and not the result of a  
504 process-based model, it might be interesting to check their behaviour in terms of correlation  
505 between MMM residuals, and also the effect of environmental variables on the residuals. The  
506 MMM correlations were generally moderate probably owing to the decreased model  
507 uncertainty (Fig. Y5 in Supplementary material). We found a general negative correlation  
508 between the SWC and ST residuals, while the maximum temperatures were positively  
509 correlated with the SWC and negatively with the ST residuals at all sites (the highest  
510 correlation was characteristic to the GRI and OEN sites). These results are in accordance with  
511 our previous finding, namely that the MMM approach may give a better estimation than the  
512 individual models (here in terms of unexpected correlation between the residuals).

513

### 514 *3.3.2. Uncertainty assessment related to multi-model ensemble*

515 Appendix 4 shows, for both individual models and MMM, the ratios between the  
516 variability of the models envelope and standardized model residuals. Values greater than one  
517 indicate that the spread is larger than the model residual, i.e. the uncertainty associated with  
518 the ensemble of models is high. For ST, ratios  $>1$  indicate that with both individual models  
519 (90%) and MMM (100%) model error was generally lower than the variability in the multi-  
520 model ensemble (with ratio equal to 1, M1 at LAQ1 is the only exception). With SWC, the  
521 pattern of responses is more complex, ranging from ratios  $<1$  with M1 at all sites to ratios  $>1$   
522 with M6 and M7, and mixed situations with the other models and MMM (overall ratios  $>1$  are  
523 68% with individual models and 60% with MMM). This complexity is also reflected in the  
524 yield responses (ratios  $>1$  are 54% with individual models and 58% with MMM), where only

525 M3 shows ratios  $<1$  at all sites except MBO (where only two values of measured biomass  
526 were available).

527

## 528 **4. Discussion**

### 529 *4.1. Soil temperature (ST)*

530 All the models simulated ST relatively well, and their performance for representing ST  
531 generally improved after calibration. However, modelling efficiency (ME, at times  $<0$ )  
532 indicated problems with the quality of the results. It means that the information content of the  
533 simulations is questionable in spite of the level of explained variance, which appears high.  
534 Therefore, developments are still needed in terms of ST representation of the models to  
535 improve the quality of the simulations. Error statistics show the utility of the ensemble ST  
536 simulations against individual models. Ensemble median ST based on the blind runs over-  
537 performed the majority of the models (except in terms of ME), while ensemble median ST  
538 derived from the calibrated runs was still more appropriate than  $\sim 2/3$  of the models. The  
539 results indicate that satisfactory results can already be acquired based on the ensemble of  
540 uncalibrated runs.

541

### 542 *4.2. Soil water content (SWC)*

543 Even though bias can exist in the measurements of SWC (e.g. in the case of the widely  
544 used water content reflectometers; Weitz et al., 1997; Chow et al., 2009), performance  
545 indicators clearly indicated that the models used in this study are not sufficiently accurate to  
546 estimate SWC. This was mainly associated with the unrealistic small amplitude of the annual  
547 cycle of the SWC curve, as compared to the measurements. Due to the known role of SWC on  
548 evapotranspiration, stomatal conductance and other processes, this problem has obvious  
549 consequences at sites where water shortage is a typical feature. According to the De

550 Martonne-Gottmann aridity index (Supplementary material, Fig. A), water shortage affected  
551 the majority of the sites, at least in some years. Proper response of the models to the water-  
552 limited conditions is thus questionable, which means that the applicability of the models in  
553 semi-arid or arid ecosystems is not supported.

554 This finding may be to some extent related to the ability of roots to extract soil water,  
555 which differs between perennial species dominating continental Europe and annual self-  
556 seeding species dominating Mediterranean (semi-arid) sites (e.g. Volaire and Lelièvre, 2001;  
557 Mapfumo et al., 2002).

558 Quality of SWC simulation might seriously affect model parameter estimation as well.  
559 Calibration usually means a statistical method where the internal model parameters are  
560 adjusted, so that the agreement between model outputs and measurements is improved (e.g.  
561 Hidy et al., 2012). The pitfall of model calibration is the possible bias introduced to the  
562 optimized internal parameters when model structural errors are compensated with distorted  
563 parameters (e.g. Carvalhais et al., 2008; Martre et al., 2015). This is especially problematic if  
564 the model parameters are physical quantities (like C:N ratio, specific leaf area index, etc.) not  
565 merely coefficients of some empirical equation. Our results indicate that due to the deficient  
566 SWC estimation there is a high possibility that calibration will result in distorted parameter  
567 values. Further model developments are clearly and essentially needed in terms of soil  
568 hydrology to address structural errors within the models, and to avoid the systematic errors  
569 associated in some of the model parameters.

570 The utility of the MMM SWC estimation is not as straightforward as in the case of ST.  
571 Ensemble median of the blind results usually performs better than 2/3 of the models (with the  
572 exception of  $R^2$ ), which means that some benefit can be expected by using an ensemble  
573 approach. Considering the calibrated models, the number of models that are outperformed by  
574 the median is decreased. These results indicate the usefulness of the ensemble approach

575 though the performance of the MMM still indicates several areas of improvement. In  
576 summary, the results indicate that SWC estimation should be used with caution in regional or  
577 continental scale simulations, and model developments focusing on soil hydrology are  
578 essential.

579

#### 580 *4.3. Plant biomass*

581 Biomass data are discontinuously measured and rather large uncertainties on biomass  
582 measurements (mainly owing to spatial heterogeneity) may hinder model evaluation  
583 (Vuichard et al., 2007). Simulated yield dynamics were essentially dissimilar across the  
584 models used in this intercomparison. The results indicate that there is no systematic fashion in  
585 the response of the models to the environmental factors. This highlights the complexity of  
586 interactions between meteorology, soil properties, grassland floristic composition and their  
587 related resilience to environmental stress, management and other biogeochemical factors. This  
588 also indicates that the models are not developed enough to capture systematic differences  
589 between the sites.

590 In our model intercomparison, calibration was performed using eddy covariance based on  
591 C flux and evapotranspiration data, together with SWC and ST (but some modelling groups  
592 only used a subset of measured data for calibration). Thus, biomass data were not used as a  
593 control variable for model optimization, which means that errors associated with the proper  
594 estimation of biomass can partly be explained by the lack of adjustments of some internal  
595 model parameters associated with biomass. Multi-objective model calibration should be  
596 extended to include biomass as a control variable with equal weight as the other, sometimes  
597 more data-rich data streams like GPP (Keenan et al., 2011). Besides uncertainty associated  
598 with the model parameters, structural problems might also affect the performance of models  
599 on yield. For example, constant ratios of the above- to below-ground biomass allocation may

600 cause unsatisfactory model performance on biomass. Ensemble simulation of grassland  
601 production is an opportunity as shown in the present study. Uncalibrated ensemble median  
602 was the most successful in terms of error statistics, in spite of the fact that the quality of the  
603 performance based on the median was still problematic at almost all the sites. Due to  
604 calibration, the multi-model median was still useful.

605

#### 606 *4.4. Ensemble approach of grassland simulation*

607 We used such a simple approach (median of all simulations) to construct ensemble results,  
608 but there are alternative ways (see Schwalm et al., 2015 for an overview) to calculate multi-  
609 model ensembles to take into account the skill of individual models with weighting according  
610 to errors. Schwalm et al. (2015) studied the effect of "naive" (i.e. simple multi-model  
611 ensemble like in our case) versus optimal techniques in terms of performance of terrestrial  
612 biosphere models. They found that sophisticated, skill-based methods are not superior in  
613 comparison with the naive approach in statistical sense. This means that our simple multi-  
614 model median approach might already capture the essentials considering the possible  
615 applicability of the ensemble technique. Further steps are needed, probably with the inclusion  
616 of additional grassland models and ensemble integration techniques to evaluate the usefulness  
617 of the ensemble technique. This would mean a major step towards robust and reliable  
618 estimation of production and greenhouse gas balance of grasslands.

619

#### 620 *4.5. Possible explanations for model errors (residual analysis)*

621 We presented an approach that uses a covariance matrix (with graphical representation) to  
622 take into account all possible correlations between ST, SWC and yield residuals and,  
623 additionally, mean maximum air temperatures and precipitation totals. This residual analysis  
624 can help find relationships between some variables, and between variables and external

625 drivers (and thus it can help find additional variables that may need to be included in the  
626 models as predictors; Medlyn et al., 2005). This analysis might indicate dependency of errors  
627 in one process that is related to another (which is a typical case of error propagation within the  
628 model), though the way of error propagation cannot be easily retrieved from the covariance  
629 matrix. For example, overestimation of biomass may cause overestimated shading of the soil  
630 surface that interferes with the ST simulation. In turn, bias in ST may interact with ecosystem  
631 respiration that affects plant growth and thus biomass amount. Underestimation of leaf  
632 biomass may interact with evapotranspiration (by decreasing it) which can cause errors in  
633 SWC due to slower water depletion. SWC effect on biomass is probably more  
634 straightforward. The results indicated that the SWC annual cycle is not well represented by  
635 model simulations and, hence, drought stress on plant growth and biomass could not be  
636 captured by models. This is particularly well illustrated at GRI.

637 Considering the specific models that provided calibrated outputs, the results can be used to  
638 make recommendations for model improvement (Supplementary material, section 4). The  
639 results indicate that the structural errors can be detected based on the analysis of model  
640 residuals. The lack of strong correlation between the residuals at the grazed site (LAQ1 and  
641 LAQ2) as well as extensive sites (ROT2, KEM2) indicates that the process representation of  
642 state-of-the-art grassland models is not satisfactory, and more research is needed to accurately  
643 simulate biogeochemical processes and grass yield at grazed and extensively managed sites.  
644 As we only used a few variables in the correlation matrix, additional variables might be added  
645 to the covariance matrix analysis of residuals.

646

#### 647 *4.6. Uncertainties in grassland modelling*

648 Uncertainty of output data, defined as spread of results arising from unknown or  
649 imperfectly characterized processes, is an inherent property of mathematical modelling. In

650 grassland modelling and, generally, in ecological modelling, uncertainty is caused by internal  
651 variability, errors in the initial and boundary conditions, parameterization, and model  
652 structure. In multi-model frameworks, uncertainty is also associated with the different model  
653 formulations (Schwalm et al., 2015).

654       Considering the nine grassland models, our study suggests that the spread of the ensemble  
655 members tends to be higher than the model error. This means that variability of simulation  
656 results can be explained by model formulation rather than structural uncertainties within the  
657 models. Work is needed to constrain the multi-model results and decrease uncertainty in  
658 simulating grassland functioning. Uncertainty is associated with the measurements which are  
659 used to train (i.e. calibrate) the individual grassland models. For example, eddy covariance  
660 measurements that were used in the present study inherently contain random and systematic  
661 errors that might interact with the parameter estimation (Richardson et al., 2006). Errors  
662 associated to the training dataset might cause bias in the optimized parameters for a given  
663 model structure. Initial conditions are typically estimated by self-initialization or equilibrium  
664 run (e.g. Lardy et al., 2011), which creates consistent initial conditions for the simulations in  
665 terms of different pools and nutrient availability. However, the equilibrium pools might  
666 deviate strongly from reality. Incorrect estimation of boundary conditions (i.e. meteorological  
667 drivers) might also cause uncertainty in the results.

668       Grassland models typically use many parameters (i.e. constants) that are variables in  
669 reality, which substantially alter the biophysical and biogeochemical processes. In many  
670 cases, these parameters are hard to define due to lack of measurement (e.g. for plant traits like  
671 leaf C:N ratio or specific leaf area), or due to the nature of the parameter (e.g. in empirical  
672 equations without physical meaning). Thus, model calibration is essential to optimize model  
673 results for a given ecosystem. However, parameters are highly variable in time and space (e.g.  
674 Zaehle et al., 2005), thus their general applicability as one defined plant functional type (PFT,

675 Bonan et al., 2002) is problematic. Grassland models can simulate management in such a way  
676 that the user prescribes the management related data to the model (e.g. Hidy et al., 2012).  
677 However, due to the nature of management the settings are often affected by uncertainties. A  
678 typical example is grass cutting, or grazing. Within the present model intercomparison, yield  
679 simulation was rather unsuccessful at the grazed site (LAQ1 and LAQ2; Figs. R13 and R14 in  
680 the Supplementary material), which can be the consequence of management-related  
681 uncertainty. Individual grassland models are constructed using diverse representations of  
682 specific processes (Table B1 in Supplementary material). Though there are similarities in the  
683 applied methods (e.g. the Penman-Monteith method is used usually for evapotranspiration  
684 simulation), the heterogeneity of the process representations is obvious. Scientific level of  
685 understanding of plant processes is far from being perfect. Here we mention a few processes  
686 that are widely discussed in the literature.

687 Plant phenology is clearly problematic as timing of onset of vegetation growth and litter  
688 production in autumn strongly influence grassland functionality (e.g. Zhang et al., 2013).  
689 Photosynthesis routines coupled with stomatal conductance parameterization are subjected to  
690 uncertainties due to parameterization. Plant respiration formulation is quite heterogeneous  
691 among the models, which is a major source of model output uncertainty in grassland models  
692 and biogeochemical models in general. Soil water balance representation is another source of  
693 uncertainty for the models that was clearly demonstrated in the present study.

694 Although grassland models typically have some kind of representation of drought related  
695 senescence and changes of plant functioning due to water limitation and/or heat, this logic is  
696 still based on the above-mentioned PFT logic. Van der Molen et al. (2011) suggested that  
697 grassland ecosystems cannot be considered as a single PFT but should be treated as mixtures  
698 of plants with different plant strategic properties. For example, at the drought-prone Bugac-  
699 puszta site in Hungary (Nagy et al., 2007), observations revealed that C3 grasses dominate the

700 spring/early summer intensive growth, then during the summer drought resistant C4 grass  
701 species start to interact with the overall C balance also due to their delayed phenological cycle  
702 at this extensively managed sandy grassland (Nagy Z., personal communication). None of the  
703 studied grassland models is at present prepared to represent this strategy for mixtures of  
704 grassland species.

705 Other processes not mentioned here might also be poorly represented within state-of-the-  
706 art grassland models. In any case, it is clear that our understanding is not satisfactory yet to  
707 provide reliable estimations for grassland functioning and biogeochemistry.

708

## 709 **5. Conclusions and future directions**

710 Quantitative representations of the uncertainty in models can be used to study strategies for  
711 decision-making. Estimating uncertainty derived from multi-model ensembles is a relatively  
712 recent topic in climate-related agronomic research, and it has gained a lot of momentum over  
713 the last few years (e.g. Asseng et al., 2013). The uncertainties that are embodied by a  
714 spectrum of modelling choices are thus represented and by the inherent imperfection of each  
715 and every one of them. In this study, we presented a framework for proper interpretation of  
716 model performances and uncertainties obtained with a set of biophysical models (individually  
717 and in an ensemble) simulating grasslands systems at a variety of sites.

718 There are multiple foci when designing multi-model studies of complex ecosystems (such  
719 as grasslands) depending on the questions to be answered. We have not identified the best  
720 model for grasslands and we have not assigned probability of success to prove the suitability  
721 of using one or another model. We are not even claiming that a set of parameter values of  
722 general validity was produced by calibrating grassland models. Rather, we have pursued  
723 questions to be answered about drivers of grassland processes and modelled responses (and  
724 their uncertainties).

725 The results indicated that some of the main drivers and results of the grassland processes  
726 are not represented well by state-of-the-art grassland models. Especially SWC and yield had  
727 severe problems that may prevent their applicability in reliable, larger scale experiments.  
728 Model errors were presented for the studied processes in a tabular form, which may provide  
729 comparability basis for further studies. Presentation of daily, weekly and monthly results  
730 might be useful for other researchers to compare model performance at the same sites.  
731 Calibration seemed to improve the model results to some extent, but there was no dramatic  
732 increase in model performance for any of the studied models, at any of the sites. Ensemble  
733 technique seems to be a feasible method for the simulation of grassland processes, but model  
734 development is inevitable to improve the multi-model approach. In our intercomparison, we  
735 highlighted the uncertainties that are associated with the models, and we created  
736 recommendations to some of the models. Uncertainty was characterized in a fashion, which  
737 allowed highlighting the scientific challenges faced in simulating soil processes (temperature  
738 and water content) and biomass on European and peri-European grasslands with a variety of  
739 state-of-the art models used individually or within an ensemble. What seems to be a message  
740 from our intercomparison is that grassland models should be further developed and tested at a  
741 large number of experimental sites. In order to provide validation and calibration data for the  
742 models, essential processes and outputs like GPP, RECO, SWC, ST, C allocation, emission of  
743 non-CO<sub>2</sub> GHGs, and also magnitude and timing of human intervention should be  
744 characterized in systematic and accurate fashion in multiple grassland sites covering large  
745 climatic gradients.

746 Though the exercise of the presented model intercomparison performed (the first on  
747 permanent grasslands) is large enough, we are aware that it does not completely cover most of  
748 the modelling approaches used to simulate grasslands. An example is the process-based,  
749 biogeochemical model ORCHIDEE-GM, which includes an enhanced representation of

750 grassland management derived from PaSim (Chang et al., 2013, 2015). Another example is  
751 represented by a grassland-specific model derived from STICS (BioMA-Grassland, personal  
752 communication by G. De Sanctis, Joint Research Centre of the European Commission, Ispra,  
753 Italy), which is being developed for the platform BioMA (Biophysical Models Applications,  
754 <http://bioma.jrc.ec.europa.eu>). Grassland model intercomparisons with the inclusion of more  
755 models should therefore be continued to improve our ability to simulate grassland processes  
756 with acceptable quality. We also think that further analyses and better understanding of these  
757 ensembles are required to achieve fundamental progress in grassland modelling by  
758 investigating the sensitivity of models to climate and management drivers. This assessment  
759 goes beyond the scope of this paper, and a paper on this topic should be arranged later as a  
760 natural evolution of what has already been presented here.

761

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786

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974

975 **APPENDICES**

976

977 **Appendix 1**

978

979 Individual (M1-M8) and multi-model ensemble (MMM) performance at different information  
 980 (SIM) levels - uncalibrated (*U1*, *U2*), calibrated (*C*) and validated (*V*) - at the most humid and  
 981 the most arid flux sites (ID as in Table 1) based on different metrics calculated on weekly  
 982 averaged soil temperature (ST). NA: not available ST simulations.

983

Model ID	SIM	Mean of observations (°C)		Mean of simulations (°C)		BIAS (°C)		RRMSE (%)		ME		R <sup>2</sup>	
		GRI	LAQ1	GRI	LAQ1	GRI	LAQ1	GRI	LAQ1	GRI	LAQ1	GRI	LAQ1
M1	<i>U1</i>	9.74	8.95	8.71	7.69	-1.02	-1.26	32.25	28.77	-0.06	-0.15	0.77	0.83
	<i>U2</i>	10.17	8.54	9.69	7.63	-0.47	-0.90	14.63	25.80	0.07	0.36	0.95	0.93
	<i>C</i>	9.74	8.95	8.60	7.71	-1.14	-1.24	34.81	37.02	0.63	0.59	0.89	0.90
	<i>V</i>	10.17	8.54	9.39	7.49	-0.78	-1.05	30.60	41.71	0.70	0.72	0.96	0.93
M2	<i>U1</i>	9.74	8.95	5.01	7.36	-4.73	-1.59	54.21	28.66	-1.37	-0.65	0.90	0.89
	<i>U2</i>	10.17	8.54	6.91	6.92	-3.26	-1.62	39.92	27.81	-0.79	-0.19	0.92	0.94
	<i>C</i>	9.74	8.95	4.85	7.17	-4.89	-1.78	55.09	28.16	-1.36	-0.59	0.90	0.90
	<i>V</i>	10.17	8.54	6.81	6.58	-3.36	-1.96	40.69	29.96	-0.80	-0.09	0.92	0.94
M3	<i>U1</i>	9.74	8.95	10.38	10.26	0.64	1.31	50.53	50.83	0.88	0.79	0.70	0.78
	<i>U2</i>	10.17	8.54	10.44	10.26	0.27	1.72	44.54	56.98	0.88	0.81	0.73	0.78
	<i>C</i>	9.74	8.95	7.80	7.65	-1.94	-1.30	29.93	25.49	-0.17	-0.07	0.86	0.88
	<i>V</i>	10.17	8.54	9.15	7.31	-1.02	-1.23	18.44	31.00	0.13	0.31	0.94	0.88
M4	<i>U1</i>	9.74	8.95	10.04	8.70	0.31	-0.25	36.77	28.93	-1.10	-0.88	0.91	0.90
	<i>U2</i>	10.17	8.54	11.94	8.37	1.77	-0.16	35.82	23.48	-0.98	-0.37	0.91	0.94
	<i>C</i>	9.74	8.95	10.01	8.36	0.27	-0.59	35.59	26.59	-1.05	-0.81	0.91	0.91
	<i>V</i>	10.17	8.54	11.70	8.01	1.54	-0.53	32.55	20.71	-0.88	-0.27	0.93	0.95
M5	<i>U1</i>	9.74	8.95	7.80	NA	-1.94	NA	27.08	NA	-0.02	NA	0.89	NA
	<i>U2</i>	10.17	8.54	9.14	NA	-1.03	NA	17.06	NA	0.25	NA	0.97	NA
	<i>C</i>	9.74	8.95	7.84	NA	-1.89	NA	27.38	NA	-0.29	NA	0.90	NA
	<i>V</i>	10.17	8.54	9.31	NA	-0.86	NA	16.08	NA	0.02	NA	0.95	NA
M6	<i>U1</i>	9.74	8.95	6.95	7.21	-2.79	-1.74	31.92	24.50	-0.15	0.04	0.91	0.93
	<i>U2</i>	10.17	8.54	8.81	6.80	-1.36	-1.74	18.99	31.93	0.24	0.34	0.97	0.93
	<i>C</i>	9.74	8.95	11.45	7.20	1.72	-1.75	40.15	33.64	0.44	0.38	0.73	0.88
	<i>V</i>	10.17	8.54	10.50	5.96	0.33	-2.58	26.89	42.21	0.05	0.39	0.81	0.93
M7	<i>U1</i>	9.74	8.95	8.23	NA	-1.51	NA	25.37	NA	-0.33	NA	0.90	NA
	<i>U2</i>	10.17	8.54	9.72	NA	-0.45	NA	12.99	NA	-0.02	NA	0.96	NA
	<i>C</i>	9.74	8.95	7.86	NA	-1.88	NA	27.29	NA	-0.36	NA	0.90	NA
	<i>V</i>	10.17	8.54	9.36	NA	-0.81	NA	14.56	NA	-0.03	NA	0.96	NA
M8	<i>U1</i>	9.74	8.95	28.06	28.04	18.32	19.09	198.41	223.42	-7.42	-10.57	0.80	0.86
	<i>U2</i>	10.17	8.54	28.21	27.99	18.05	19.45	186.53	238.72	-7.48	-8.24	0.95	0.89
	<i>C</i>	9.74	8.95	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	<i>V</i>	10.17	8.54	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
MMM	<i>U1</i>	9.74	8.95	8.14	8.39	-1.60	-0.56	24.63	20.03	-0.12	0.00	0.90	0.92
	<i>U2</i>	10.17	8.54	9.66	8.03	-0.51	-0.50	12.12	18.58	0.17	0.31	0.97	0.97
	<i>C</i>	9.74	8.95	7.90	7.44	-1.83	-1.51	26.59	22.54	-0.26	0.02	0.90	0.93
	<i>V</i>	10.17	8.54	9.31	6.91	-0.86	-1.63	14.34	28.75	0.07	0.31	0.96	0.95

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985

986 **Appendix 2**

987

988 Individual (M1-M9) and multi-model ensemble (MMM) model performance at different  
 989 information (SIM) levels - uncalibrated (*U1*, *U2*), calibrated (*C*) and validated (*V*) - at the  
 990 most humid and the most arid flux sites (ID as in Table 1) based on different metrics  
 991 calculated on weekly averaged soil water content (SWC). NA: not available SWC  
 992 simulations.

Model ID	SIM	Mean of observations (m <sup>3</sup> m <sup>-3</sup> )		Mean of simulations (m <sup>3</sup> m <sup>-3</sup> )		BIAS (m <sup>3</sup> m <sup>-3</sup> )		RRMSE (%)		ME		R <sup>2</sup>	
		GRI	LAQ1	GRI	LAQ1	GRI	LAQ1	GRI	LAQ1	GRI	LAQ1	GRI	LAQ1
M1	<i>U1</i> *	0.45	0.36	0.37	0.36	-0.08	0.01	14.17	11.20	-714.6	0.30	0.10	0.50
	<i>U2</i>	0.41	0.33	0.36	0.36	-0.06	0.04	18.01	15.98	0.32	-1.91	0.83	0.25
	<i>C</i> *	0.45	0.36	0.39	0.39	-0.06	0.03	13.61	15.43	-329	0.34	0.08	0.46
	<i>V</i>	0.41	0.33	0.38	0.39	-0.03	0.06	17.84	21.38	0.82	-3.55	0.87	0.37
M2	<i>U1</i> *	0.45	0.36	0.39	0.38	-0.06	0.02	16.35	14.38	-406.8	0.00	0.32	0.41
	<i>U2</i>	0.41	0.33	0.37	0.39	-0.04	0.06	14.94	21.67	0.42	-3.65	0.82	0.20
	<i>C</i> *	0.45	0.36	0.39	0.37	-0.06	0.02	16.51	14.21	-409.9	-0.05	0.45	0.40
	<i>V</i>	0.41	0.33	0.38	0.39	-0.04	0.06	15.37	21.22	0.49	-3.53	0.76	0.20
M3	<i>U1</i> *	0.45	0.36	0.24	0.26	-0.21	-0.10	44.31	31.65	-4291	-3.68	0.34	0.18
	<i>U2</i>	0.41	0.33	0.22	0.26	-0.19	-0.06	47.51	24.08	-3.87	-4.92	0.70	0.07
	<i>C</i> *	0.45	0.36	0.30	0.35	-0.15	-0.01	33.46	19.79	-2219	-0.64	0.55	0.12
	<i>V</i>	0.41	0.33	0.27	0.43	-0.14	0.11	37.11	50.52	-1.80	-23.88	0.60	0.00
M4	<i>U1</i> *	0.45	0.36	0.23	0.38	-0.22	0.02	50.95	14.41	-4336	0.21	0.09	0.31
	<i>U2</i>	0.41	0.33	0.23	0.38	-0.19	0.05	48.60	19.64	-3.44	-3.06	0.56	0.23
	<i>C</i> *	0.45	0.36	0.34	0.36	-0.11	0.00	25.13	11.73	-1011	0.56	0.20	0.44
	<i>V</i>	0.41	0.33	0.34	0.36	-0.08	0.04	26.52	14.14	0.29	-0.86	0.66	0.29
M5	<i>U1</i> *	0.45	0.36	0.31	NA	-0.14	NA	37.84	NA	-1934	1.00	0.00	NA
	<i>U2</i>	0.41	0.33	0.31	NA	-0.11	NA	33.85	NA	-0.52	1.00	0.02	NA
	<i>C</i> *	0.45	0.36	0.29	NA	-0.16	NA	37.95	NA	-2368	1.00	0.38	NA
	<i>V</i>	0.41	0.33	0.29	NA	-0.13	NA	34.63	NA	-1.29	1.00	0.55	NA
M6	<i>U1</i> *	0.45	0.36	0.31	0.29	-0.14	-0.06	42.54	24.92	-2066	-1.94	0.00	0.10
	<i>U2</i>	0.41	0.33	0.31	0.30	-0.10	-0.03	30.46	19.01	-0.75	-3.42	0.38	0.18
	<i>C</i> *	0.45	0.36	0.45	0.33	0.00	-0.03	3.43	12.90	0.74	-0.40	0.26	0.48
	<i>V</i>	0.41	0.33	0.46	0.30	0.05	-0.03	18.81	12.51	0.29	-0.02	0.53	0.18
M7	<i>U1</i> *	0.45	0.36	0.70	NA	0.25	NA	64.14	NA	-5817	1.00	0.45	NA
	<i>U2</i>	0.41	0.33	0.69	NA	0.28	NA	68.30	NA	-8.97	1.00	0.49	NA
	<i>C</i> *	0.45	0.36	0.35	NA	-0.10	NA	17.90	NA	-1038	1.00	0.44	NA
	<i>V</i>	0.41	0.33	0.34	NA	-0.07	NA	24.44	NA	0.11	1.00	0.44	NA
M8	<i>U1</i> *	0.45	0.36	0.19	0.22	-0.26	-0.13	66.39	62.01	-8509	-24.12	0.70	0.18
	<i>U2</i>	0.41	0.33	0.14	0.19	-0.27	-0.13	72.21	58.86	-10.05	-36.17	0.14	0.01
	<i>C</i> *	0.45	0.36	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	<i>V</i>	0.41	0.33	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
M9	<i>U1</i> *	0.45	0.36	0.29	0.31	-0.16	-0.05	46.04	25.15	-2627	-2.09	0.51	0.03
	<i>U2</i>	0.41	0.33	0.29	0.32	-0.12	-0.01	40.18	21.67	-1.60	-4.25	0.01	0.06
	<i>C</i> *	0.45	0.36	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	<i>V</i>	0.41	0.33	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
MMM	<i>U1</i> *	0.45	0.36	0.31	0.33	-0.14	-0.02	40.18	16.11	-1945.6	-0.44	0.01	0.22
	<i>U2</i>	0.41	0.33	0.30	0.33	-0.11	0.01	32.71	13.39	-0.72	-1.04	0.23	0.20
	<i>C</i> *	0.45	0.36	0.35	0.36	-0.10	0.01	17.90	11.28	-975.49	0.43	0.44	0.55
	<i>V</i>	0.41	0.33	0.35	0.37	-0.07	0.05	22.91	17.39	0.30	-1.79	0.74	0.20

993 \* Six available observed SWC data during *U1* and *C* simulations at Grillenburg.

994

995

996 **Appendix 3**

997

998 Individual (M1-M9) and multi-model ensemble (MMM) model performance at different  
 999 information (SIM) levels - uncalibrated (*U*) and calibrated (*C*) - for SAS and KEM1 sites (ID  
 1000 as in Table 1) based on different metrics calculated on cutting events of yield biomass  
 1001 (harvested aboveground biomass). NA: not available yield simulations.

Model ID	SIM	Mean of observations (g DM m <sup>-2</sup> )		Mean of simulations (g DM m <sup>-2</sup> )		BIAS (g DM m <sup>-2</sup> )		RRMSE (%)		ME		R <sup>2</sup>	
		SAS	KEM1	SAS	KEM1	SAS	KEM1	SAS	KEM1	SAS	KEM1	SAS	KEM1
M1	<i>U</i>	117.6	126.6	64.5	240.0	-53.1	113.4	89.4	132.3	-0.26	-22.99	0.15	0.09
	<i>C</i>			26.9	113.1	-90.7	-13.4	102.5	56.6	-0.46	-2.63	0.14	0.02
M2	<i>U</i>	117.6	126.6	11.1	93.2	-106.6	-33.4	111.4	46.8	-0.67	-1.18	0.22	0.02
	<i>C</i>			5.2	57.5	-112.5	-69.0	118.0	65.0	-0.81	-3.78	0.08	0.02
M3	<i>U</i>	117.6	126.6	62.6	36.1	-55.0	-90.4	129.8	80.3	-0.93	-6.20	0.02	0.01
	<i>C</i>			10.7	23.2	-107.0	-103.3	113.5	86.1	-0.62	-7.71	0.32	0.04
M4	<i>U</i>	117.6	126.6	34.8	124.9	-82.8	-1.7	97.8	25.7	0.02	0.84	0.21	0.14
	<i>C</i>			NA	184.0	NA	57.5	NA	54.5	NA	-2.39	NA	0.10
M5	<i>U</i>	117.6	126.6	85.6	38.4	-32.0	-88.1	72.5	79.4	0.00	-6.32	0.28	0.00
	<i>C</i>			85.6	101.8	-32.0	-24.8	72.5	67.7	0.00	-3.46	0.28	0.02
M6	<i>U</i>	117.6	126.6	190.3	335.8	72.6	209.3	139.8	181.5	-3.98	-42.07	0.28	0.05
	<i>C</i>			110.7	183.3	-6.9	56.7	73.9	62.0	0.68	-3.77	0.05	0.07
M7	<i>U</i>	117.6	126.6	99.7	166.5	-17.9	39.9	92.9	60.9	-0.87	-5.05	0.19	0.26
	<i>C</i>			65.9	155.6	-51.7	29.1	76.0	52.5	0.07	-4.13	0.29	0.37
M8	<i>U</i>	117.6	126.6	97.2	466.3	-20.4	339.7	88.4	294.5	0.44	-111.08	0.00	0.00
	<i>C</i>			NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
M9	<i>U</i>	117.6	126.6	107.0	179.9	-10.6	53.4	91.3	107.5	0.08	-13.92	0.03	0.02
	<i>C</i>			NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
MMM	<i>U</i>	117.6	126.6	61.2	153.5	-56.5	26.9	81.5	31.8	0.17	-1.48	0.19	0.62
	<i>C</i>			38.7	106.5	-78.9	-20.0	87.6	32.7	-0.12	-0.40	0.40	0.24

1002

1003 **Appendix 4**

1004 Average ratio of the ensemble spread to model error: average absolute standardized spread  
 1005 (maximum-minimum) of model results / average absolute standardized model residual.  
 1006 Responses are from calibrated simulations of soil temperature (ST), soil water content (SWC)  
 1007 and yield biomass, as obtained at each site (ID as in Table 1) with both individual models  
 1008 (M1-M7) and the ensemble median (MMM). NA: not available simulations.

<b>Output</b>	<b>Site</b>	<b>M1</b>	<b>M2</b>	<b>M3</b>	<b>M4</b>	<b>M5</b>	<b>M6</b>	<b>M7</b>	<b>MMM</b>
ST	OEN	1.10	1.92	3.90	6.19	5.03	1.95	5.58	4.95
	MBO	1.07	2.72	2.60	3.80	3.03	1.44	3.39	2.97
	GRI	1.54	2.42	3.91	4.15	4.78	2.25	5.16	4.95
	LAQ1	1.00	2.79	2.37	4.17	NA	1.39	NA	2.53
	LAQ2	1.53	3.04	3.54	4.51	NA	1.91	NA	4.19
SWC	OEN	0.64	1.23	1.09	1.33	1.13	4.42	1.07	2.04
	MBO	0.38	0.57	0.39	2.15	0.66	3.04	1.40	0.62
	GRI	0.83	2.03	1.01	0.29	0.91	2.66	1.05	0.82
	LAQ1	0.83	1.56	2.58	1.61	NA	2.48	NA	1.60
	LAQ2	0.74	1.62	3.09	1.46	NA	1.33	NA	2.27
Yield biomass	KEM1	0.96	0.95	0.14	1.10	2.49	1.18	2.27	1.89
	KEM2	0.75	0.76	0.15	0.72	1.65	1.48	2.30	0.76
	ROT1	1.92	2.51	0.14	4.96	1.47	1.78	3.76	2.07
	ROT2	1.82	2.44	0.15	6.05	1.63	1.66	4.30	2.29
	LEL	0.28	0.73	0.13	2.62	1.97	0.52	1.10	0.44
	MAT	0.20	1.52	0.11	0.09	0.94	2.18	1.04	1.07
	SAS	0.71	0.15	0.10	NA	2.09	4.57	1.12	0.75
	OEN	0.09	0.61	0.99	1.05	0.48	0.47	1.09	0.50
	MBO	0.52	0.55	4.67	0.39	0.85	3.27	2.56	0.79
	GRI	0.63	1.02	0.96	0.99	2.08	0.93	1.84	1.10
	LAQ1	1.28	1.42	0.55	0.83	NA	2.56	NA	2.17
LAQ2	1.67	1.32	0.19	1.09	NA	1.15	NA	1.86	

1009